Carleton University Fall 2022

Arthur Kroeger College of Public Affairs

<https://carleton.ca/bpapm/>

**PAPM 2001 A**

**Foundations of Public Policy: Political Thought**

 Thursday 9:35 – 11:25

Please confirm location on Carleton Central

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Instructor: Hans-Martin Jaeger

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Office Hours: Tuesday 15:00-17:00

 Thursday 12:00-14:00

(or by appointment)

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**Calendar Description**

Theoretical, philosophical and ethical foundations for the study of public affairs and policy management. Drawing from classic and contemporary texts in political philosophy and theory, students consider issues relating to the nature of democracy, civic society and social organizations, the public, public affairs, public interest.

**Course Description and Objectives**

When governments and public agencies develop and implement policies, they address immediate social, political or economic problems, engage in technical calculations, and respond to the constraints of domestic and international policy environments. Whether the issue is social justice or education, public health or climate change, national security or international development, infrastructure or technology, policymaking is assumed to follow this pattern. However, public policymaking also always takes place in a context profoundly shaped by a long lineage of political (and economic) ideas. Sometimes it explicitly draws on concepts, rationalizations, and justifications from classical and contemporary political (and economic) thought. This course provides an overview of some important theoretical, philosophical, and ethical rationales in Western political thought which have informed and continue to resonate in the conduct of policy and public affairs in Canada and throughout the world.

Questions we will examine in this course include: What are the origins of our understandings of public affairs and policy? What kinds of ethics, interests, and institutions are the basis of public affairs? What are the fundamental purposes of public policy? Who should articulate the public will that determines policy? Should liberty, equality and rights, or should utility and expertise be the primary considerations in the formulation of policy? What is the significance of political culture, civil society, and the public sphere for policymaking? What is the role of law and institutions, governmental rationalities and technologies, and public communication? What forms of power are mobilized in the making of public policy? Does public policy presuppose consensus? Is it helped or hindered by conflict?

We will investigate answers to these questions in the liberal and republican traditions of Western political thought, especially with reference to democratic contexts. The approaches to – or “foundations” of – public affairs and policy we will study include contractualism, utilitarianism, public sphere theories, governmentality, and others. In addition to understanding the specifics and differences of these approaches, the broader objective of the course is a critical consideration of the contributions and liabilities of concepts and theories in Western political thought which have been influential for public affairs and policy.

By the end of this course, students should be able to

* identify and describe central philosophical and theoretical approaches underpinning public affairs and policy
* make connections between these approaches and the practice of public policy
* critically and comparatively assess the contributions and limitations of different philosophical and theoretical approaches.

Generally, assignments and class activities are intended to hone students’ analytical, critical, and communication skills in speech and writing.

The course includes lectures, some class discussion, and tutorials. Lectures will focus on the exposition of key ideas in the readings and provide some historical and intellectual context for the different authors and approaches. Tutorials will give students the opportunity for further discussion of these approaches. In tutorial discussions, students are also encouraged to connect the theoretical ideas discussed in the readings and the lecture to practical issues of public affairs and policy management.

**Texts**

The books of which we are reading major portions have been ordered at the Carleton University Bookstore:

John Locke, *Second Treatise of Government* (Hackett)

Jean-Jacques Rousseau, *On the Social Contract* (Hackett)

John Stuart Mill, *On Liberty* (Hackett)

These and all other class readings are also available through the Carleton Library’s ARES online reserve system (via Brightspace or the Library website).

**Course Requirements and Evaluation**

|  |  |  |
| --- | --- | --- |
| Requirement | Percentageof final grade | Due date |
| Attendance andTutorial Participation |  15% | Weekly |
| Quiz |  5% | October 6 |
| First Take-home Essay |  25% | October 20 |
| Second Take-home Essay |  25% | December 8 |
| Final Exam |  30% | December 10-22(see Carleton Exam Schedule) |

As per early feedback guidelines, the Quiz will be returned by October 13.

**Attendance and Tutorial Participation (15%).** Attendance is required at lectures and tutorials. Tutorials will begin the week of September 19. To benefit from the course, it is essential that you read the assigned texts prior to lectures and tutorial meetings. Your tutorial participation will be evaluated based on the quality and frequency of your oral contributions, with greater weight given to quality. Quality contributions (questions, comments) demonstrate that you have read the assigned materials; that you can analytically, interpretatively, and critically reflect and comment on central ideas of the readings and lectures; and that you can make connections between these ideas and other themes or readings in the course.

**Quiz (5%).** Students will take a short quiz **at the beginning of class on October 6**. The quiz will consist of 12-15 short questions including multiple-choice questions, identifications of quotations, true-false questions, one-to-three-word answers, etc. There will be no make-up quiz except in case of a documented family or medical emergency.

**Two Take-home Essays (25% each).** Each student is required to write two short take-home essays (1400-1600 words, 12-point font, double-spaced) in response to questions posted on Brightspace on October 6 and November 17. The essays are **due on Brightspace on October 20** and **December 8** (respectively) by 11:59 p.m. There will be no extensions of the deadlines, except in cases of documented medical or family emergencies. Late submissions will be accepted but penalized by one third of a letter grade per day (e.g. from B+ to B) for up to seven calendar days.

Evaluation of the essays is based on (in declining order of importance):

(a) the merits (persuasiveness, logic, and clarity) of your argument;

(b) your general understanding of the subject matter under discussion;

(c) the appropriateness and relevance of citations of class readings

 (including a consistent citation style);

(d) correct English grammar, spelling, and usage.

It is not necessary to draw on materials other than the assigned class readings. The essays must include page references to these readings. If you use editions of the readings other than those from the publishers listed under Texts above, you must provide full bibliographical information. In the eventuality that other sources (books, articles, web material, etc.) are consulted, these must also be cited and included in the bibliography. More specific writing guidelines will be given with the essay assignments.

**Final Exam (30%).** Students will write a final exam during the official exam period, December 10-22. The final exam will have two parts. Part I will consist of 15-20 short questions similar in style to those on the Quiz and covering the material since the Quiz. In part II, you will be asked to write an essay on a question pertaining to the whole term (to be chosen from a list of several topics).

**Brightspace**

This course has a Brightspace page on which this course outline, lecture outlines, and essay assignments will be posted.

**Class Schedule**

Sept. 8 **Introduction**

Sept. 15 **Virtue Ethics: The Polis, Citizenship, and the Good Life**

Aristotle, *Politics*, Book I, chs. 1-2; Book III, chs. 1-13; Book IV, chs. 1-4;

Book V, chs. 8-9; Book VII, chs. 1-3, 13-15.

Sept. 22 **Contractualism I: Liberty and the Rule of Law**

John Locke, *Second Treatise of Government*, Chs. I-V; Ch. VI §§ 57, 71-73;

Chs. VII-VIII.

Sept. 29 **Contractualism I: Liberty and the Rule of Law** cont.

John Locke, *Second Treatise of Government*, Chs. IX-XV, XVII-XVIII;

Ch. XIX §§ 211-231, 240-243.

Oct. 6 **Contractualism II: Equality and Popular Sovereignty**

 *\*\*\*\*\* Quiz at the beginning of class\*\*\*\*\**

 Jean-Jacques Rousseau, *On the Social Contract*, Books I-II.

Oct. 13 **Contractualism II: Equality and Popular Sovereignty** cont.

 Jean-Jacques Rousseau, *On the Social Contract*,

Book III, chs. I-III,VIII-XVIII; Book IV, chs. I-III, VII-IX.

Oct. 20 **Institutions: Representation, Checks and Balances, Rights**

 *\*\*\*\*\* First Take-home Essay due\*\*\*\*\**

 Alexander Hamilton, James Madison and John Jay, *The Federalist Papers*,

Nos. 1, 9, 10, 49, 51.

U.S. Bill of Rights, Amendments I-XIX (1789-1919), available at

https://www.archives.gov/founding-docs/bill-of-rights-transcript.

Declaration of the Rights of Man and Citizen (1789), available at

https://constitutionnet.org/sites/default/files/declaration\_of\_the\_rights\_of\_man\_1789.pdf.

Olympe de Gouges, Declaration of the Rights of Woman and Female Citizen

(1791), available at https://docs.google.com/viewer?a=v&pid=sites&srcid=ZGVmYXVsdGRvbWFpbnxpbnRyb21wdHxneDoyMWRjZGQ3OGNmNmQ3ODQz.

Constitution of Haiti (1805), available at

https://wp.stu.ca/worldhistory/wp-content/uploads/sites/4/2015/07/Constitution-of-Haiti-1805.pdf.

Oct. 27 Fall Break (no class)

Nov. 3 **Political Culture and Civil Society**

 Alexis de Tocqueville, *Democracy in America*,

Volume I: Introduction; Part I, chs. 3-4; Part II, chs. 4, 6-9

Volume II: Part II, chs. 1-2, 4-5; Part IV, chs. 1-4, 6, 8

Nov. 10 **Utilitarianism and (the Limits of) Liberty**

 Jeremy Bentham, *Introduction to the Principles of Morals and Legislation*, Ch. 1 John Stuart Mill, *On Liberty*, Chs. I-III

Nov. 17 **Utilitarianism and (the Limits of) Liberty** cont.

 John Stuart Mill, *On Liberty*, Chs. III-V

Nov. 24 **The Public Sphere:** **Elite Intelligence vs. Public Communication**

 Walter Lippmann, *Public Opinion*, Pp. 24-32, 222-233, 243-249, 310-314,

398-410.

 John Dewey, *The Public and Its Problems*, pp. 143-160, 166-169, 177-179,

206-209.

Dec. 1 **Arts of Government: From Police to Neoliberalism**

Michel Foucault (1991 [1978]) “Governmentality,” in Graham Burchell, Colin

Gordon and Peter Miller (eds.) *The Foucault Effect: Studies in Governmentality*. Chicago: University of Chicago Press, pp. 87-104.

Thomas Lemke (2001) “’The Birth of Bio-politics’: Michel Foucault’s Lecture at

the Collège de France on Neo-liberal Governmentality,” *Economy and Society* 30(2): 190-207.

Dec. 8 **Deliberative Democracy vs. Agonistic Pluralism**

 *\*\*\*\*\*Second Take-home Essay due\*\*\*\*\**

Jürgen Habermas (1994) “Three Normative Models of Democracy,”

*Constellations* 1(1): 1-10.

Chantal Mouffe (1999) “Deliberative Democracy or Agonistic Pluralism?”

*Social Research* 66(3): 745-758.

**Academic Support Services at Carleton**

**Centre for Student Academic Support (CSAS):** CSAS offers students a variety of free services, including skill development workshops, one-on-one support, writing services, and peer assisted study sessions. To learn more about these services, visit CSAS in MacOdrum Library or visit them online at <https://carleton.ca/csas/>.

**Covid-19 Pandemic Measures**

It is important to remember that COVID is still present in Ottawa. The situation can change at any time and the risks of new variants and outbreaks are very real. There are [a number of actions you can take](https://carleton.ca/covid19/health-and-safety/reducing-your-risks/) to lower your risk and the risk you pose to those around you including being vaccinated, wearing a mask, staying home when you’re sick, washing your hands and maintaining proper respiratory and cough etiquette.

**Feeling sick?** Remaining vigilant and not attending work or school when sick or with symptoms is critically important. If you feel ill or exhibit COVID-19 symptoms do not come to class or campus. If you feel ill or exhibit symptoms while on campus or in class, please leave campus immediately. In all situations, you should follow Carleton’s [symptom reporting protocols](https://carleton.ca/covid19/covid-19-symptom-reporting/).

**Masks:** Masks are no longer mandatory in university buildings and facilities. However, we continue to recommend masking when indoors, particularly if physical distancing cannot be maintained. We are aware that personal preferences regarding optional mask use will vary greatly, and we ask that we all show consideration and care for each other during this transition.

**Vaccines:** While proof of vaccination is no longer required to access campus or participate in in-person Carleton activities, it may become necessary for the University to bring back proof of vaccination requirements on short notice if the situation and public health advice changes. Students are strongly encouraged to get a full course of vaccination, including booster doses as soon as they are eligible and submit their booster dose information in [cuScreen](https://carleton.ca/covid19/cuscreen/) as soon as possible. Please note that Carleton cannot guarantee that it will be able to offer virtual or hybrid learning options for those who are unable to attend the campus.

All members of the Carleton community are required to follow requirements and guidelines regarding health and safety which may change from time to time. For the most recent information about Carleton’s COVID-19 response and health and safety requirements please see the [University’s COVID-19 website](https://carleton.ca/covid19/) and review the [Frequently Asked Questions (FAQs)](https://carleton.ca/covid19/faq/). Should you have additional questions after reviewing, please contact covidinfo@carleton.ca.

**Statement on Student Mental Health**

As a university student you may experience a range of mental health challenges that significantly impact your academic success and overall well-being. If you need help, please speak to someone. There are numerous resources available both on- and off-campus to support you. Here is a list that may be helpful:

**Emergency Resources** **(on and off campus):** <https://carleton.ca/health/emergencies-and-crisis/emergency-numbers/>

**Carleton Resources:**
• Mental Health and Wellbeing: <https://carleton.ca/wellness/>
• Health & Counselling Services: <https://carleton.ca/health/>
• Paul Menton Centre: <https://carleton.ca/pmc/>
• Academic Advising Centre (AAC): <https://carleton.ca/academicadvising/>
• Centre for Student Academic Support (CSAS): <https://carleton.ca/csas/>
• Equity & Inclusivity Communities: <https://carleton.ca/equity/>

**Off Campus Resources:**
• Distress Centre of Ottawa and Region: (613) 238-3311 or TEXT: 343-306-5550, <https://www.dcottawa.on.ca/>
• Mental Health Crisis Service: (613) 722-6914, 1-866-996-0991, <http://www.crisisline.ca/>
• Empower Me: 1-844-741-6389, <https://students.carleton.ca/services/empower-me-counselling-services/>
• Good2Talk: 1-866-925-5454, <https://good2talk.ca/>
• The Walk-In Counselling Clinic: [https://walkincounselling.com](https://walkincounselling.com/)

**Requests for Academic Accommodation**

You may need special arrangements to meet your academic obligations during the term. For an accommodation request, the processes are as follows:

***Pregnancy accommodation:*** Please contact your instructor with any requests for academic accommodation during the first two weeks of class, or as soon as possible after the need for accommodation is known to exist. For more details, visit the Equity Services website: [carleton.ca/equity/wp-content/uploads/Student-Guide-to-Academic-Accommodation.pdf](http://carleton.ca/equity/wp-content/uploads/Student-Guide-to-Academic-Accommodation.pdf).

***Religious accommodation:*** Please contact your instructor with any requests for academic accommodation during the first two weeks of class, or as soon as possible after the need for accommodation is known to exist. For more details, visit the Equity Services website: [carleton.ca/equity/wp-content/uploads/Student-Guide-to-Academic-Accommodation.pdf](http://carleton.ca/equity/wp-content/uploads/Student-Guide-to-Academic-Accommodation.pdf).

***Accommodations for students with disabilities:*** If you have a documented disability requiring academic accommodations in this course, please contact the Paul Menton Centre for Students with Disabilities (PMC) at 613-520-6608 or pmc@carleton.ca for a formal evaluation or contact your PMC coordinator to send your instructor your Letter of Accommodation at the beginning of the term. You must also contact the PMC no later than two weeks before the first in-class scheduled test or exam requiring accommodation (if applicable). After requesting accommodation from PMC, reach out to your instructor as soon as possible to ensure accommodation arrangements are made. For more details, please visit [carleton.ca/pmc](http://carleton.ca/pmc).

***Accommodation for student activities:*** Carleton University recognizes the substantial benefits, both to the individual student and for the university, that result from a student participating in activities beyond the classroom experience. Reasonable accommodation must be provided to students who engage in student activities at the national or international level. Please contact your instructor with any requests for academic accommodation during the first two weeks of class, or as soon as possible after the need for accommodation is known to exist. <https://carleton.ca/senate/wp-content/uploads/Accommodation-for-Student-Activities-1.pdf>.

For more information on academic accommodation, please contact the departmental administrator or visit: [students.carleton.ca/course-outline](http://students.carleton.ca/course-outline).

**Sexual Violence Policy**

As a community, Carleton University is committed to maintaining a positive learning, working and living environment where sexual violence will not be tolerated. Survivors are supported through academic accommodations as per Carleton's Sexual Violence Policy. For more information about the services available at the university and to obtain information about sexual violence and/or support, visit: [carleton.ca/sexual-violence-support](http://carleton.ca/sexual-violence-support).

**Plagiarism**

Carleton’s [Academic Integrity Policy](https://carleton.ca/secretariat/wp-content/uploads/Academic-Integrity-Policy-2021.pdf) defines plagiarism as “presenting, whether intentional or not, the ideas, expression of ideas or work of others as one’s own.” This includes reproducing or paraphrasing portions of someone else’s published or unpublished material, regardless of the source, and presenting these as one’s own without proper citation or reference to the original source. Examples of sources from which the ideas, expressions of ideas or works of others may be drawn from include, but are not limited to: books, articles, papers, websites, literary compositions and phrases, performance compositions, chemical compounds, art works, laboratory reports, research results, calculations and the results of calculations, diagrams, constructions, computer reports, computer code/software, material on the internet and/or conversations.

Examples of plagiarism include, but are not limited to:

* any submission prepared in whole or in part, by someone else;
* using ideas or direct, verbatim quotations, paraphrased material, algorithms, formulae, scientific or mathematical concepts, or ideas without appropriate acknowledgment in any academic assignment;
* using another’s data or research findings without appropriate acknowledgement;
* submitting a computer program developed in whole or in part by someone else, with or without modifications, as one’s own; and
* failing to acknowledge sources through the use of proper citations when using another’s work and/or failing to use quotations marks.

Plagiarism is a serious offence which cannot be resolved directly with the course’s instructor. The Associate Deans of the Faculty conduct a rigorous investigation, including an interview with the student, when an instructor suspects a piece of work has been plagiarized. Penalties are not trivial. They may include a mark of zero for the plagiarized work or a final grade of "F" for the course.

More information on the University’s Academic Integrity Policy can be found at: <https://carleton.ca/registrar/academic-integrity/>.

**Intellectual property**

Student or professor materials created for this course (including presentations and posted notes, labs, case studies, assignments and exams) remain the intellectual property of the author(s). They are intended for personal use and may not be reproduced or redistributed without prior written consent of the author(s).

**Submission and Return of Term Work**

Papers must be submitted directly to the instructor according to the instructions in the course outline. The departmental office will not accept assignments submitted in hard copy.

**Grading**

Standing in a course is determined by the course instructor, subject to the approval of the faculty Dean. Final standing in courses will be shown by alphabetical grades. The system of grades used, with corresponding grade points is:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Percentage** | **Letter grade** | **12-point scale** | **Percentage** | **Letter grade** | **12-point scale** |
| 90-100 | A+ | 12 | 67-69 | C+ | 6 |
| 85-89 | A | 11 | 63-66 | C | 5 |
| 80-84 | A- | 10 | 60-62 | C- | 4 |
| 77-79 | B+ | 9 | 57-59 | D+ | 3 |
| 73-76 | B | 8 | 53-56 | D | 2 |
| 70-72 | B- | 7 | 50-52 | D- | 1 |

Standing in a course is determined by the course instructor subject to the approval of the Faculty Dean. This means that grades submitted by an instructor may be subject to revision. No grades are final until they have been approved by the Dean.

**Carleton E-mail Accounts**

All email communication to students at the Arthur Kroeger College will be via official Carleton University e-mail accounts and/or Brightspace.  As important course and university information is distributed this way, it is the student’s responsibility to monitor their Carleton University email accounts and Brightspace.