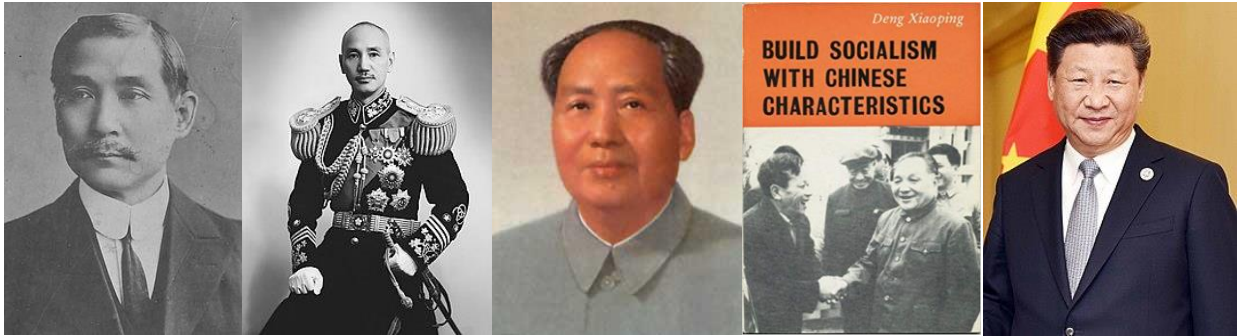


Department of History
Carleton University
2021-2022

HIST 3805A
Twentieth Century China
二十世紀的中國 [Èrshí shìjìde ZhōngGuó]



From Republicanism to Maoism to CORPORATISM

Winter Term 2022
TUE/THR: 08:35 – 9:55 AM

Instructor: J. Kovalio
Email: Jacob.kovalio@carleton.ca
Virtual office hours: by email appointment

Stay safe and healthy! We must overcome the pandemic together!

A - HIST 3805 A is again offered *online* and **synchronously** using Zoom. Zoom links will be made available by late November 2021.

B – Mainland China, the most populous state on earth and the third largest in geographical area, is also one of a number of civilizations with a continued history of about 3000 years. This fascinating, timely and **very intensive** course, although focusing on the period since the 1911 [*Xinhai*] Revolution, starts with an introduction of earlier historical eras and their legacy to the 20th century and beyond. The **subtitle** refers to what Professor Kovalio considers to be China's three major political regimes since the 20th century. In 2021, the 72 years since the Communists' victory over the Nationalists in the civil war, the *People's Republic of China* is a totalitarian, anti-democratic, politico-social structure with a seemingly successful oxymoronic "socialist- market economy" – all dominated by the Communist (in name only) Party and de-facto "Leader for Life" Xi Jin-ping's "socialism with Chinese characteristics for the new era," - **a most intriguing system** highly reminiscent in **both** its domestic and foreign policies of the *Corporatist* regimes of prewar Italy and Germany.

B - Given the intense nature of the course, its **MAJOR** importance, the amount of material covered each session and the complexity of the subject, participants are *advised to attend regularly*. There are **NO CLASSES** between **February 22nd - 25th, 2022 (Winter Break)**.

The two **instruments of learning** for the course are : **1** – The **mandatory HIST 3805A Coursepack 2021-22 edition** by Jacob Kovalio is available only in the University Bookstore and can be ordered or picked up in accordance with safety regulations, from (www.carletonshop.ca) . **IT WILL BE USED IN EACH CLASS** (after the first) and facilitates notetaking. **2** The *main text* for the course is Jonathan D. Spence's award-winning *The Search for Modern China* [New York: W.W.Norton and Co. 1995], available in the Bookstore and online.

C- GRADING is based on the Final Oral exam which contains two elements: **1** – **Identification/Definition questions (10 out of a list of 40)** worth 50% of the grade; and **2** – **Discussion on a research topic** each student will pick from the list available **at the end of this syllabus** and worth the other 50%. Exams start the week of **March 22nd, 2022**. Instructions/schedules will be circulated by **March 8th, 2022**.

PARTICIPANTS MUST COMPLETE ALL ASSIGNMENTS IN ORDER TO BE IN GOOD STANDING.

****In all their written work, students must avoid plagiarism, which is defined in the University Calendar (p. 43) as being "to use and pass off as one's own idea or product work of another without expressly giving credit to another." This may take the form of (1) copying from another's work without appropriate use of footnotes and quotation marks; (2) the use of another's ideas and arguments without acknowledgement; (3) lengthy and close paraphrasing; (4) submitting someone else's written work as one's own. The Calendar also defines as an "instructional offence" the submitting of "substantially the same piece of work to two or more courses without prior permission of the instructors" involved. The penalty for plagiarism or other instructional offences can range from "zero" for the work in question (with no re-write privileges), to FNS in the course, to possible expulsion. Names of students submitting written work containing plagiarised material will be sent to the Associate Dean of Arts and to the ombudsperson.

COURSE OUTLINE

I. Introduction: The Qing [Ching] era (1644-1911)- from prosperity to collapse - internal disruption and external encroachment.

Readings:

1-Spence, J.D., The Search for Modern China, pp. 7-137

2-Fairbank, Reischauer, Craig, East Asia, pp. 211-258

or

**2a-Wakeman, F., "High Ch'ing," in Crowley J. ed.,
Modern East Asia: Essays in Interpretation, pp. 1-27**

3-Spence, pp. 137-269

4-East Asia, pp. 435-484; 558-597; 619-648; 726-763

5-Michael, F. The Taiping Rebellion, pp. 21-51; 169-189

6-Fairbank, J.K. The Great Chinese Revolution, pp. 13-125

7-Pye, Lucian, Asian Power and Politics, pp. 182-215

8-De Bary W.T. Sources of Chinese Tradition, pp. 17-36

Issues:

*High Qing to 1800: institutions, internal and external policies

*The arrival of the *modern* West- political and cultural clash.

*The Opium Wars and semi-colonization of China: the "unequal treaties."

*The Taiping Rebellion.

*Reform, reaction and the Empress Dowager *Ci Xi* [Tzu Hsi]

*The war with Japan (1894-95) and its consequences.

*K'ang You-wei, Liang Chi-chao and reforms in late 19th century.

*The Boxer Rebellion.

*The 'Open Door' policy and the growth of foreign involvement

*The 1911 Revolution.

II.The Republic of China - to 1945

Readings:

1-Spence, pp. 269-435

2-East Asia, pp. 763-808

3-*The Great Chinese Revolution*, pp. 125-240

4-Wilbur, M. *The Nationalist Revolution in China*, pp. 1-49

5-Young E.P. "Nationalism, Reform and Republican Revolution,"
in Crowley, pp. 151-180

6-Grieder, J. "Communism, Nationalism and Democracy," in
Crowley, pp. 207-233

7-Meisner, M. "Yenan Communism," in Crowley, pp. 265-292

8-Iriye, A. *The Origins of the Second World War in Asia and the*

Pacific, pp. 41-89
9-*Sources of Chinese Tradition*, pp. 806-818; 877-883; 891-894
10-Tsunoda, De Bary, *Sources of Japanese Tradition*, pp. 716-718

Issues:

- *Sun Yat-sen and the republic.
- *Yuan Shih-k'ai and the republic.
- *Warlordism.
- *China and World War I: Japan's Twenty-one Demands.
- *The May Fourth Movement and China's intellectuals.
- *The evolution of the KMT [Guomindan, Nationalists]:
from Sun Wen to Jiang Jieshi [Chiang Kai-shek]: policies,
the Northern Expedition etc.
- *The impact of the Russian Revolution and the
establishment of the Chinese Communist Party.
- *Relations with Japan and other foreign powers.
- *The Manchurian Incident [1931] and its results.
- *Sino-Japanese relations 1931-1945 and the internal impact:
 - the establishment of *Manchukuo*
 - the KMT-CCP relations and the *Long March*
 - the Xian Incident (1936)
 - the Marco-Polo Bridge Incident (July 7, 1937)
 - China at war (1937-45)

III. The end of World War II, the civil war and the establishment of the People's Republic of China (10/1/1949)

Readings:

1-Spence, pp. 435-619

2-East Asia, pp. 930-986

3-The Great Chinese Revolution, pp. 240-369

4-Bridgham, W.L. "The International Impact of Maoist Ideology"

in Chalmers Johnson, ed., *Ideology and Politics in Contemporary China*, pp. 326-352

5-Chan, A. ed., A Brief Analysis of the Cultural Revolution, pp. 3-120

6-White, Lynn, *Policies of Chaos*, pp. 3-50

7-Bernstein, T.P. "Chinese Communism in the Era of Mao," in

Lieberthal et al ed., *Perspectives on Modern China*

pp. 275-305

Issues:

- *The Civil War and the Nationalist defeat; the Republic of China on Taiwan.
- *Communist China and its early institutions and policies:
similarities to and differences from the Soviet Union.

*Internal policies and politics:

- building a Chinese communist society
- the economy: collectivization, industrialization
- the *Hundred Flowers* campaign
- the *Great Leap Forward*

*External relations:

- Member of the Communist camp
- The Korean War
- The end of relations with the US
- The complicated relationship with the Soviet Union
- China and '*people's wars*'
- The acquisition of nuclear capability
- Deterioration of relations with the Soviet Union
- The "Great Proletarian Cultural Revolution"
- The renewal of relations with the United States.

IV. Rejoining the world - China since 1976

Readings:

1-Spence, pp. 619-749

2-Terrill, Ross, *China in Our Time*, pp. 315-339

3-Evans, Richard, *Deng Xiaoping*, pp. 244-310

4-Kovalio, J. "The 1989 Tiananmen Incident," in *Asian Perspective*, vol. 15, No. 1 (1991), pp. 5-36

5-Oksenberg, M. "The Deng Era's Uncertain Legacy," in Lieberthal, pp. 309-341

6-Shibusawa M. et al, *Pacific Asia in the 1990s*, pp. 105-123

7 – Wenran, Jiang, "China makes 'great leaps outward' in regional diplomacy," *International Journal*, vol. LXI, Spring 2005]

Issues:

*The return of Deng Xiaoping.

*The death of Zhou Enlai, Mao Zedong and Chiang Kaishek.

*From "politics in command" to "economics in command."

*The Four Modernizations and other slogans and policies and their SOCIAL AND POLITICAL consequences.

*The 1989 Tiananmen Incident and its importance.

*The idea of a "*socialist market economy*" and the question of the viability of the present regime in China.

***Major constitutional changes** at the

1997 XVth Party Congress resulting in AMENDMENTS in March 1999 [formally by NPC]:

a - **Deng Thought** enshrined together with **Maoism, Marxism-Leninism** and Jiang Zemin's "Three Represents."

b - "Private enterprise" as "important" sector of the

economy not "supplemental" as before.

c - Government "to rule by law".

***"Peaceful Rise" [Hepin Juechi]; Critical Areas [Heshin Li'i] and China's Dream:** from Jiang Zemin to Hu Jintao and Xi Jinping: **CONFUCOMMUNISM and CORPORATISM at work.**

*Toward a 21st century *Pax Sinica* in Asia-Pacific? Xi Jinping's "Chinese Dream" and the PRC's relations with the United States, Japan, India, North Korea, South Korea, ASEAN, Australia.

*The existential issue for the PRC: **compatibility** between **political totalitarianism** and **economic state-capitalism**.

*The **Dictatorship of Xi Jinping** (since 2012) especially after the "constitutional" amendment of March 2018 allowing him to NOT step down in 2022. **Aggressive imperialist foreign policy and the danger for regional and world peace.**

LIST of RESEARCH PROJECTS

ORAL TESTS START TUESDAY MARCH 22nd, 2022

- 1 - *The Taiping Rebellion and its impact.*
- 2 - The "*Double Ten*" [*Xinhai*] Revolution.
- 3 - Sun Yat-sen as thinker and leader.
- 4 - Confucianism, the foundation of Chinese civilization.
- 5 - The evolution of the CCP **before** 1949 and Mao's emergence as supreme leader.
- 6 - Jiang Jieshi [Chiang Kai-shek] as thinker and leader.
- 7 - Sino-Soviet relations:1949-1991.
- 8 - Mao Zedong [Mao Tze-tung] as thinker and leader: the Great Leap Forward and the Great Proletarian Cultural Revolution.
- 9 – "People's War,": the international impact of Maoism.
- 10 – The Tiananmen Square: 1919-2019.
- 11 - Deng Xiaoping [Teng Hsiao-ping] as thinker and leader.

- 12 – [History and] Sino-Japanese relations since 1949.
- 13 – The military dimensions of Chinese power in the 21st century.
- 14 – The evolution of Sino-American relations since the 19th century.
- 15 - Taiwan in China's domestic and foreign policy.
- 16 – The *Shanghai Cooperation Organization* [SCO].
- 17 – China in the Hu Jintao era.
- 18 – Confucianism and Communism [Confucommunism] in *21st-century* China.
- 19 – **Critical Area** foreign policy in the 21st century: *Greater East Asia Co-Prosperty Sphere with Chinese Communist Characteristics for a New Era?*
- 20 – China-Pakistan relations.
- 21 - Xi Jinping 's **Corporatist** regime and foreign policy [AIIB/BRI]; the meaning of his “*Chinese Dream*”.
- 22 - Aggressive Chinese territorial expansionism and its consequences.
- 23- China and ASEAN; Beijing’s attempts to dominate the organization.
- 24- China and the Two Koreas.
- 25- China and Africa.
- 26- The 21st Century – a “Chinese Century”?
- 27 –The East China Sea and South China Sea as major potential flashpoints.
- 28 – The China-India relationship.
- 29 – Taiwan as thriving authentic democracy with Chinese cultural characteristics.
- 30 - China-Canada relations: searching for compatibility between economic interests and drastic political differences.

REGULATIONS COMMON TO ALL HISTORY COURSES

COPIES OF WRITTEN WORK SUBMITTED

Always retain for yourself a copy of all essays, term papers, written assignments or take-home tests submitted in your courses.

PLAGIARISM

The University Senate defines plagiarism as “*presenting, whether intentionally or not, the ideas, expression of ideas or work of others as one’s own.*” This can include:

- reproducing or paraphrasing portions of someone else’s published or unpublished material, regardless of the source, and presenting these as one’s own without proper citation or reference to the original source;
- submitting a take home examination, essay, laboratory report or other assignment written, in whole or in part, by someone else;
- using ideas or direct, verbatim quotations, or paraphrased material, concepts, or ideas without appropriate acknowledgment in any academic assignment;
- using another’s data or research findings;
- failing to acknowledge sources through the use of proper citations when using another’s works and/or failing to use quotation marks;
- handing in "substantially the same piece of work for academic credit more than once without prior written permission of the course instructor in which the submission occurs."

Plagiarism is a serious offence which cannot be resolved directly with the course’s instructor. The Associate Dean of the Faculty conducts a rigorous investigation, including an interview with the student, when an instructor suspects a piece of work has been plagiarized. Penalties are not trivial. They can include a final grade of "F" for the course.

COURSE SHARING WEBSITES and COPYRIGHT

Classroom teaching and learning activities, including lectures, discussions, presentations, etc., by both instructors and students, are copy protected and remain the intellectual property of their respective author(s). All course materials, including PowerPoint presentations, outlines, and other materials, are also protected by copyright and remain the intellectual property of their respective author(s).

Students registered in the course may take notes and make copies of course materials for their own educational use only. Students are not permitted to reproduce or distribute lecture notes and course materials publicly for commercial or non-commercial purposes without express written consent from the copyright holder(s).

STATEMENT ON CLASS CONDUCT

The Carleton University Human Rights Policies and Procedures affirm that all members of the University community share a responsibility to:

- promote equity and fairness,
- respect and value diversity,
- prevent discrimination and harassment, and
- preserve the freedom of its members to carry out responsibly their scholarly work without threat of interference.

Carleton University Equity Services states that “every member of the University community has a right to study, work and live in a safe environment free of discrimination or harassment”. [In May of 2001 Carleton University’s Senate and Board of Governors approved the Carleton University Human Rights Policies and Procedures. The establishment of these policies and procedures was the culmination of the efforts of the Presidential Advisory Committee on Human Rights and a Human Rights Implementation Committee.]

GRADING SYSTEM

Letter grades assigned in this course will have the following percentage equivalents:

A+ = 90-100 (12)	B = 73-76 (8)	C - = 60-62 (4)	F = 0-49 (0) – Failure: no academic credit
A = 85-89 (11)	B - = 70-72 (7)	D+ = 57-59 (3)	
A - = 80-84 (10)	C+ = 67-69 (6)	D = 53-56 (2)	
B+ = 77-79 (9)	C = 63-66 (5)	D - = 50-52 (1)	

The following additional final course grades may be assigned by instructors:

DEF	Official deferral of final exam (see "Petitions to Defer")
GNA	Grade not available. This is used when there is an allegation of an academic offence. The notation is replaced with the appropriate grade for the course as soon as it is available.
IP	In Progress – a notation (IP) assigned to a course by a faculty member when: At the undergraduate level, an undergraduate thesis or course has not been completed by the end of the period of registration.
WDN	Withdrawn. No academic credit, no impact on the CGPA. WDN is a permanent notation that appears on the official transcript for students who withdraw after the full fee adjustment date in each term (noted in the Academic Year section of the Calendar each term). Students may withdraw on or before the last day of classes.

Standing in a course is determined by the course instructor subject to the approval of the Faculty Dean. This means that grades submitted by the instructor may be subject to revision. No grades are final until they have been approved by the Dean.

WITHDRAWAL WITHOUT ACADEMIC PENALTY

January 31, 2022: Last day for a fee adjustment when withdrawing from **winter** courses or the winter portion of two-term courses (financial withdrawal). Withdrawals after this date will create no financial change to winter term fees and will result in a permanent notation of WDN appearing on your official transcript.

April 12, 2022: Last day for academic withdrawal from **winter** courses.

REQUESTS FOR ACADEMIC ACCOMMODATIONS

You may need special arrangements to meet your academic obligations during the term. For an accommodation request the processes are as follows:

Pregnancy obligation: write to the professor with any requests for academic accommodation during the first two weeks of class, or as soon as possible after the need for accommodation is known to exist. For more details see <https://carleton.ca/equity/wp-content/uploads/Student-Guide-to-Academic-Accommodation.pdf>

Religious obligation: write to the professor with any requests for academic accommodation during the first two weeks of class, or as soon as possible after the need for accommodation is known to exist. For more details see <https://carleton.ca/equity/wp-content/uploads/Student-Guide-to->

[Academic-Accommodation.pdf](#)

Accommodation for Student Activities: write to the professor with any requests for academic accommodation during the first two weeks of class, or as soon as possible after the need for accommodation is known to exist. For more details see <https://carleton.ca/senate/wp-content/uploads/Accommodation-for-Student-Activities-1.pdf>

Survivors of sexual violence: As a community, Carleton University is committed to maintaining a positive learning, working and living environment where sexual violence will not be tolerated, and is survivors are supported through academic accommodations as per Carleton's Sexual Violence Policy. For more information about the services available at the university and to obtain information about sexual violence and/or support, visit: <https://carleton.ca/sexual-violence-support/wp-content/uploads/Sexual-Violence-Policy-December-1-2016.pdf>

Academic Accommodations for Students with Disabilities: The Paul Menton Centre for Students with Disabilities (PMC) provides services to students with Learning Disabilities (LD), psychiatric/mental health disabilities, Attention Deficit Hyperactivity Disorder (ADHD), Autism Spectrum Disorders (ASD), chronic medical conditions, and impairments in mobility, hearing, and vision. If you have a disability requiring academic accommodations in this course, please contact PMC at 613-520-6608 or pmc@carleton.ca for a formal evaluation. If you are already registered with the PMC, contact your PMC coordinator to send me your Letter of Accommodation at the beginning of the term, and no later than two weeks before the first in-class scheduled test or exam requiring accommodation (if applicable). After requesting accommodation from PMC, meet with me to ensure accommodation arrangements are made. Please consult the PMC website for the deadline to request accommodations for the formally-scheduled exam (if applicable).

PETITIONS TO DEFER

Students unable to write a final examination because of illness or other circumstances beyond their control or whose performance on an examination has been impaired by such circumstances may apply within five working days to the Registrar's Office for permission to write a deferred examination. The request must be fully and specifically supported by a medical certificate or other relevant documentation. Only deferral petitions submitted to the Registrar's Office will be considered.

ADDRESSES (613-520-2600, phone ext.)

- Department of History (2828) 400 PA, history@carleton.ca
- Registrar's Office (3500) 300 Tory, registrar@carleton.ca
- Academic Advising Centre (7850) 302 Tory, academicadvising@carleton.ca
- Paul Menton Centre (6608) 500 Unicentre, pmc@carleton.ca
- Centre for Student Academic Support – Study Skills, Writing Tutorials, Bounce Back (3822) 4th fl Library, csas@carleton.ca

Application for Graduation Deadlines

- Spring Graduation (June): April 1
- Fall Graduation (November): September 1
- Winter Graduation (February): December 1

