

Queue Layouts and Three-Dimensional Straight-Line Grid Drawings *

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Abstract

A famous result due to de Fraysseix, Pach, and Pollack [*Combinatorica*, 1990] and Schnyder [*Order*, 1989] states that every n -vertex planar graph has a (two-dimensional) straight-line grid drawing with $O(n^2)$ area. A *three-dimensional straight-line grid drawing* of a graph represents the vertices by grid-points in 3-space and the edges by non-crossing line segments. This research is motivated by the following question of Felsner, Liotta, and Wismath [Graph Drawing '01, *Lecture Notes in Comput. Sci.*, 2002]: *does every planar graph have a three-dimensional straight-line grid drawing with $O(n)$ volume?* A queue layout consists of a linear order σ of the vertices of a graph, and a partition of the edges into queues, such that no two edges in the same queue are nested with respect to σ . Let G be a member of a proper minor-closed family of graphs (such as a planar graph), and let $F(n)$ be a set of functions closed under taking polynomials (such as $O(1)$ or polylog n). We prove that G has a $F(n) \times F(n) \times O(n)$ straight-line grid drawing if and only if G has a queue layout with $F(n)$ queues. Thus the above question is closely related to the open problem of Heath, Leighton, and Rosenberg [*SIAM J. Discrete Math.*, 1992], who ask whether every planar graph has $O(1)$ queue number? As a corollary we improve the best known upper bound on the volume of three-dimensional straight-line grid drawings of series-parallel graphs from $O(n \log^2 n)$ to $O(n)$.

Keywords: three-dimensional graph drawing, graph layout, queue layout, queue number, pathwidth, star colouring, star chromatic number, series-parallel graph

1 Introduction

A famous result independently due to de Fraysseix, Pach, and Pollack [7] and Schnyder [28] states that every n -vertex planar graph has a (two-dimensional) straight-line grid drawing with $O(n^2)$ area. One might expect that in three dimensions, planar graphs would admit straight-line grid drawings with $o(n^2)$ volume. However, this has remained an elusive open problem. The purpose of this paper is to reduce this question of three-dimensional graph drawing to an existing one-dimensional graph layout problem.

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1.1 Definitions and notation

Throughout this paper all graphs G are undirected, simple and finite with vertex set $V(G)$ and edge set $E(G)$; $n = |V(G)|$ and $m = |E(G)|$ denote the number of vertices and edges of G , respectively. For all $A \subseteq V(G)$, the subgraph of G induced by A is denoted by $G[A]$. For all disjoint $A, B \subseteq V(G)$, the bipartite subgraph of G consisting of all edges $vw \in E(G)$ such that $v \in A$ and $w \in B$ is denoted by $G[A, B]$.

A k -tree is defined recursively as follows. The complete graph K_k is a k -tree, and the graph obtained from a k -tree by adding a new vertex adjacent to a k -clique is a k -tree. A *partial k -tree* is a subgraph of a k -tree. A *tree decomposition* of a graph G is a tree T together with a collection of subsets T_x (called *bags*) of $V(G)$ indexed by the vertices of T such that:

- $\bigcup_{x \in V(T)} T_x = V(G)$,
- for every edge $vw \in E(G)$, there is a vertex $x \in V(T)$ such that the bag T_x contains both v and w , and
- for all vertices $x, y, z \in V(T)$, if y is on the path from x to z in T , then $T_x \cap T_z \subseteq T_y$.

The *width* of a tree decomposition is one less than the maximum cardinality of a bag. A *path decomposition* is a tree decomposition where the tree T is a path. The *pathwidth* (respectively, *treewidth*) of a graph G , denoted by $\text{pw}(G)$ ($\text{tw}(G)$), is the minimum width of a path (tree) decomposition of G . It is well known that the treewidth of G equals the minimum k such that G is a partial k -tree (see [3]).

1.2 Three-dimensional straight-line grid drawing

A *three-dimensional straight-line grid drawing* of a graph, henceforth called a *three-dimensional drawing*, represents the vertices by distinct points in 3-space with integer coordinates (called *grid-points*), and represents each edge as a line-segment between its end-vertices, such that edges only intersect at common end-vertices. In contrast to the case in the plane, it is well known that every graph has a three-dimensional drawing. We therefore are interested in optimising certain measures of the aesthetic quality of a drawing. If a three-dimensional drawing is contained in an axis-aligned box with side lengths $X - 1$, $Y - 1$ and $Z - 1$, then we speak of an $X \times Y \times Z$ three-dimensional drawing with *volume* $X \cdot Y \cdot Z$. In this paper we study three-dimensional drawings with small volume.

Cohen, Eades, Lin, and Ruskey [6] proved that every graph has a three-dimensional drawing with $O(n^3)$ volume, and this bound is asymptotically tight for the complete graph K_n . Calamoneri and Sterbini [5] proved that every 4-colourable graph has a three-dimensional drawing with $O(n^2)$ volume. Generalising this result, Pach, Thiele, and Tóth [24] proved that every k -colourable graph, for fixed $k \geq 2$, has a three-dimensional drawing with $O(n^2)$ volume, and that this bound is asymptotically optimal for the complete bipartite graph with equal sized bipartitions. The first linear volume bound was established by Felsner, Wismath, and Liotta [12], who proved that every outerplanar graph has a drawing with $O(n)$ volume. Poranen [26] proved that series-parallel digraphs have upward three-dimensional drawings with $O(n^3)$ volume, and that this bound can be improved to $O(n^2)$ and $O(n)$ in certain special cases. di Giacomo, Liotta, and Wismath [8] proved that series-parallel graphs with maximum degree 3 have three-dimensional drawings with $O(n)$ volume. Dujmović, Morin, and Wood

[11] proved that every graph G has a three-dimensional drawing with $O(n \cdot \text{pw}(G)^2)$ volume. This implies $O(n \log^2 n)$ volume drawings for graphs of bounded treewidth, such as series-parallel graphs.

Since a planar graph G is 4-colourable and has $\text{pw}(G) \in O(\sqrt{n})$, by the results of Calamoneri and Sterbini [5], Pach *et al.* [24], and Dujmović *et al.* [11] discussed above, every planar graph has a three-dimensional drawing with $O(n^2)$ volume. Of course this result also follows from the classical algorithms of de Fraysseix *et al.* [7] and Schnyder [28] for producing plane grid drawings. This paper is motivated by the following open problem.

Open Problem (Felsner *et al.* [12]). Does every n -vertex planar graph have a three-dimensional drawing with $O(n)$ volume? In fact, any $o(n^2)$ volume bound would be of interest.

The main result of this paper is to reduce this question to an open problem in the theory of queue layouts.

1.3 Queue layouts

For a graph G , a linear order of $V(G)$ is called a *vertex-ordering* of G . A *queue layout* of G consists of a vertex-ordering σ of G , and a partition of $E(G)$ into *queues*, such that no two edges in the same queue are *nested* with respect to σ . That is, there are no edges vw and xy in a single queue with $v <_\sigma x <_\sigma y <_\sigma w$. The minimum number of queues in a queue layout of G is called the *queuenumber* of G , and is denoted by $\text{qn}(G)$. A similar concept is that of a *stack layout*, which is also called a *book embedding*. A *stack layout* of a graph G consists of a vertex-ordering of G , and a partition of $E(G)$ into *stacks* (or *pages*) such that no two edges in a single stack *cross*. That is, there are no edges vw and xy in a single stack with $v <_\sigma x <_\sigma w <_\sigma y$. The minimum number of stacks in a stack layout of G is called the *stacknumber* of G , and is denoted by $\text{sn}(G)$. Stacknumber has also been called *pagenumber* and *book thickness* in the literature. Applications of stack and queue layouts include VLSI layout, fault-tolerant processing, parallel processing, matrix computations, and sorting networks (see [25]). Stack layouts have previously appeared in a graph drawing context in [31].

Queue layouts of (undirected) graphs have been studied by Heath *et al.* [17], Heath and Rosenberg [21], Pemmaraju [25], Rengarajan and Veni Madhavan [27], and Shahrokhi and Shi [29]. Queue layouts of directed graphs [19, 20, 25] and posets [18, 25] have also been investigated. Heath and Rosenberg [21] characterise graphs admitting 1-queue layouts as the ‘arched leveled planar’ graphs. They also prove that it is NP-complete to recognise such graphs. This result is in contrast to the situation for stack layouts — the graphs admitting 1-stack layouts are precisely the outerplanar graphs, which can be recognised in linear time [30]. On the other hand, it is NP-hard to minimise the number of stacks in a stack layout which respects a given vertex-ordering [15]. However for queue layouts with a fixed vertex-ordering this problem can be solved as follows. A k -rainbow in a vertex-ordering σ consists of a matching $\{v_i w_i : 1 \leq i \leq k\}$ such that

$$v_1 <_\sigma v_2 <_\sigma \dots <_\sigma v_k <_\sigma w_k <_\sigma w_{k-1} <_\sigma \dots <_\sigma w_1 .$$

A straightforward application of Dilworth’s Theorem [10] proves that the minimum number of queues in a queue layout respecting a fixed vertex-ordering is precisely the size of the largest rainbow [21]. (Heath and Rosenberg [21] also prove that such an assignment of

edges to queues can be computed in $O(m \log \log n)$ time.) Thus determining $\text{qn}(G)$ can be viewed simply as a vertex layout problem. In particular, $\text{qn}(G)$ is the minimum, taken over all vertex-orderings σ of G , of the size of the maximum rainbow in σ .

A closely related vertex layout problem is that of *vertex separation number* (see [9]). Consider the vertex numbering (v_1, v_2, \dots, v_n) induced by a vertex-ordering σ of a graph G . The *vertex cut at position i* in σ is defined to be the set of vertices $v_j \in V(G)$ such that there exists an edge $v_j v_k \in E(G)$ with $j \leq i < k$. The *vertex separation number* of σ is the maximum size of a vertex cut in σ , and the *vertex separation number* of G is the minimum vertex separation number of a vertex-ordering of G . Clearly a vertex-ordering with vertex separation number k has no rainbow with more than k edges (see Figure 1). Since the vertex-separation number of a graph equals its pathwidth (see [9]), the next result immediately follows.

Observation 1. *For every graph G , $\text{qn}(G) \leq \text{pw}(G)$.*

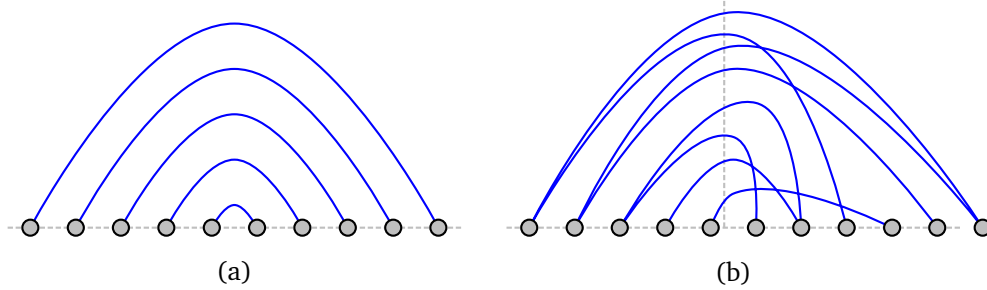


Figure 1: A rainbow (a) and a vertex cut (b) each of size 5.

A similar upper bound is obtained by Heath and Rosenberg [21], who show that every graph G has $\text{qn}(G) \leq \lceil \frac{1}{2} \text{bw}(G) \rceil$, where $\text{bw}(G)$ is the bandwidth of G . In many cases this result is weaker than Observation 1 since $\text{pw}(G) \leq \text{bw}(G)$ (see [9]). Furthermore, Observation 1 provides a partial solution to an open problem of Ganley and Heath [14], who ask whether the queue number of a graph is bounded by its treewidth. (Ganley and Heath [14] prove that the stack number $\text{sn}(G) \leq \text{tw}(G) + 1$.) Since $\text{tw}(G) \in O(\text{pw}(G) \cdot \log n)$ [3], the queue number $\text{qn}(G) \in O(\text{tw}(G) \cdot \log n)$.

1.4 Our results

While our motivation is for planar graphs, our results apply to any *proper* minor-closed family of graphs; that is, a minor-closed family which is not the class of all graphs. We now state our main result.

Theorem 1. *Let \mathcal{G} be a proper minor-closed family of graphs, and let $F(n)$ be a set of functions closed under taking polynomials (for example, $O(1)$ or $\text{polylog } n$). Then every n -vertex graph $G \in \mathcal{G}$ has a $F(n) \times F(n) \times O(n)$ three-dimensional drawing if and only if G has queue number $\text{qn}(G) \in F(n)$.*

Graphs with constant queue number include de Bruijn graphs, FFT and Beneš network graphs [21]. Thus Theorem 1 implies all these graphs have three-dimensional drawings with linear volume. Rengarajan and Veni Madhavan [27] prove that outerplanar graphs have

queuenumber at most 2, and 2-trees have queuenumber at most 3. A graph is a 2-tree if and only if every biconnected component is series-parallel (see [3]). We therefore have the following corollary of Theorem 1.

Corollary 1. *Every n -vertex series-parallel graph has a three-dimensional drawing with $O(n)$ volume.*

Corollary 1 improves and/or generalises the above results for three-dimensional drawings of outerplanar and series-parallel graphs in [8, 11, 12, 26]. Note that the algorithm by Felsner *et al.* [12] closely parallels the construction of 2-queue layouts of outerplanar graphs due to Rengarajan and Veni Madhavan [27], both of which are based on breadth-first search.

2 Proof of Theorem 1

Our proof of Theorem 1 depends on the notion of an ordered layering introduced by Dujmović *et al.* [11]. An *ordered k -layering* of a graph G consists of a partition V_1, V_2, \dots, V_k of $V(G)$ into layers, and a total order $<_i$ of each V_i , such that for every edge vw , if $v <_i w$ then there is no vertex x with $v <_i x <_i w$. The *span* of an edge vw is $|i - j|$ where $v \in V_i$ and $w \in V_j$. An *intralayer* edge is an edge with zero span. An *X-crossing* consists of two edges vw and xy such that for distinct layers i and j , $v <_i x$ and $y <_j w$.

Lemma 1 (Dujmović *et al.* [11]). *Let $F(n)$ be a set of functions closed under taking polynomials. Then an n -vertex graph G has a $F(n) \times F(n) \times O(n)$ three-dimensional drawing if and only if G has an ordered k -layering with no X-crossing, for some $k \in F(n)$. Furthermore, if G has an ordered layering with no X-crossing and maximum edge span s then G has a $O(s) \times O(s) \times O(n)$ three-dimensional drawing. Refer to Figure 2.*

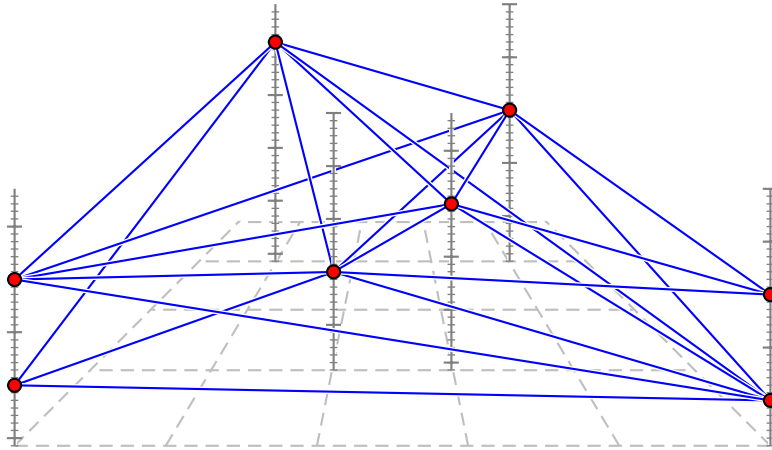


Figure 2: A three-dimensional drawing produced from an ordered layering with no X-crossing; vertices in each layer are placed on a vertical ‘rod’.

Dujmović *et al.* [11] prove that every graph G has an ordered $(\text{pw}(G) + 1)$ -layering with no X-crossing. That G has a three-dimensional drawing with $O(n \cdot \text{pw}(G)^2)$ volume follows

from Lemma 1. The result of Felsner *et al.* [12] also fits into this framework; they prove that an outerplanar graph has an ordered layering with maximum edge span 1.

It follows from Lemma 1 that Theorem 1 can be proved if we show that G has $\text{qn}(G) \in F(n)$ if and only if G has an ordered k -layering with no X-crossing, for some $k \in F(n)$. The next lemma highlights the inherent relationship between ordered layerings and queue layouts. It's elementary proof is left as an exercise for the reader (see Figure 3).

Lemma 2. *A bipartite graph $G = (A, B; E)$ has an ordered 2-layering with no X-crossing and no intralayer edges if and only if G has a 1-queue layout such that in the corresponding vertex-ordering, the vertices in A appear before the vertices in B .*

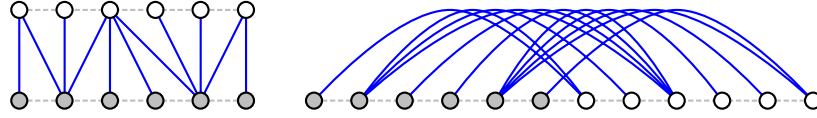


Figure 3: An ordered 2-layering and a 1-queue layout of a bipartite graph.

We now show that a queue layout can be obtained from an ordered layering. This result can be viewed as a generalisation of the construction of a 2-queue layout of an outerplanar graph by Rengarajan and Veni Madhavan [27] (with $s = 1$).

Lemma 3. *Let G be a graph with an ordered k -layering with no X-crossing and maximum edge span s . Then $\text{qn}(G) \leq \min\{\lfloor \frac{k^2}{4} \rfloor, \frac{s(s+1)}{2}\} + 1$.*

Proof. Let $\{(V_i, <_i) : 1 \leq i \leq k\}$ be an ordered k -layering with no X-crossing and maximum edge span s . Let σ be the vertex-ordering of G consisting of V_1 , followed by V_2 , and so on, up to V_k , with each V_i ordered by $<_i$. Partition $\{(i, j) : 1 \leq i < j \leq k\}$ as follows. For all $1 \leq a \leq k$ and $1 \leq b \leq \min\{a, k - a + 1\}$, define

$$I_{a,b} = \left\{ (b, b+a), (b+a, b+2a), (b+2a, b+3a), \dots, (b + (\lfloor \frac{k-b}{a} \rfloor - 1)a, b + \lfloor \frac{k-b}{a} \rfloor a) \right\}.$$

For each a and b , let $q_{a,b}$ be the union of the edges of $G[V_i, V_j]$ where $(i, j) \in I_{a,b}$. Since there is no X-crossing between V_i and V_j , by Lemma 2, there are no nested edges in $q_{a,b}$ with respect to σ . Using one supplementary queue for all intralayer edges, we obtain a queue layout of G . The number of queues is $1 + \sum_{a=1}^k \min\{a, k - a + 1\} = 1 + \lfloor \frac{k^2}{4} \rfloor$. Observe that $q_{a,b}$ is empty for $a > s$. Deleting such queues, we obtain a queue layout of G with at most $1 + \sum_{a=1}^s a = 1 + \frac{s(s+1)}{2}$ queues. \square

We now set out to prove a converse result to Lemma 3. Consider an ordered k -layering with no X-crossing and no intralayer edges. The subgraph induced by any two layers is a forest of caterpillars [16]. A slightly weaker family of graphs is a forest of stars. A proper vertex-colouring of a graph is called a *star colouring* if each bichromatic subgraph is a forest of stars; that is, every path on 4 vertices receives at least distinct 3 colours. The minimum number of colours in a star colouring of a graph G is called the *star chromatic number* of G , and is denoted by $\chi_{\text{st}}(G)$. Nešetřil and Ossona de Mendez [23] prove that every planar graph G has $\chi_{\text{st}}(G) \leq 30$. Other graphs with bounded star chromatic number include graphs with bounded acyclic chromatic number [13], graphs embeddable on a fixed surface [2], graphs with bounded maximum degree [1], 1-planar graphs [4], and graphs with bounded

treewidth [13]. More generally, Nešetřil and Ossona de Mendez [23] prove that a graph has bounded star chromatic number if and only if it is a member of a proper minor-closed family of graphs \mathcal{G} . In this case, the star chromatic number of a graph $G \in \mathcal{G}$ is at most a quadratic function of the maximum chromatic number of a minor of G .

Lemma 4. *Let G be a graph with star chromatic number $\chi_{\text{st}}(G) \leq c$, and queue number $\text{qn}(G) \leq k$. Then G has an ordered t -layering with no X-crossing where*

$$t \leq c(2(c-1)k+1)^{c-1}.$$

Proof. Let V_1, \dots, V_c be the colour classes of a star colouring of G . Pemmaraju [25] (see also [18]) proved that a k -queue graph layout can be ‘separated’ by a vertex c -colouring to produce a $2(c-1)k$ -queue layout with the vertices in each colour class consecutive in the vertex-ordering. Applying this result to the given queue layout and star colouring, we obtain a $2(c-1)k$ -queue layout of G with vertex-ordering σ consisting of vertices in V_1 , followed by vertices in V_2 , and so on, up to V_c . Let $k' = 2(c-1)k$ and let $q_1, \dots, q_{k'}$ be the queues in this queue layout.

For every vertex $v \in V_i$, $1 \leq i \leq c$, and $j \in \{1, \dots, c\} \setminus \{i\}$, let $d_j(v)$ be the degree of v in $G[V_i, V_j]$. Define the j^{th} label of v , denoted by $\phi_j(v)$, as follows. If $d_j(v) \geq 2$ then let $\phi_j(v) = \text{‘r’}$; in this case v is the root of a star in $G[V_i, V_j]$. If $d_j(v) = 1$ then let $\phi_j(v) = q_a$ where the edge in $G[V_i, V_j]$ incident to v is in the queue q_a , $1 \leq a \leq k'$. If $d_j(v) = 0$ then let $\phi_j(v)$ be some arbitrary queue q_a . Let the label of $v \in V_i$ be $\phi(v) = (\phi_1(v), \dots, \phi_{i-1}(v), \phi_{i+1}(v), \dots, \phi_c(v))$. Let S_i be the set of possible labels for a vertex in V_i . Then $|S_i| = (k' + 1)^{c-1}$. We now group the vertices with the same colour and the same label. Let $V_{i,L} = \{v \in V_i : \phi(v) = L\}$ for all labels $L \in S_i$ and $1 \leq i \leq c$, and consider each $V_{i,L}$ to be ordered by σ . Thus $\{V_{i,L} : 1 \leq i \leq c, L \in S_i\}$ is an ordered layering of G . We denote the j^{th} label of $L \in S_i$ by $L[j]$.

Consider a subgraph $G[V_{i,P}, V_{j,Q}]$ for some $1 \leq i < j \leq c$ and labels $P \in S_i$ and $Q \in S_j$. We claim that all edges in $G[V_{i,P}, V_{j,Q}]$ are in a single queue. If $P[j] = \text{‘r’}$ and $Q[i] = \text{‘r’}$ then $G[V_{i,P}, V_{j,Q}]$ has no edges. If $P[j] = \text{‘r’}$ and $Q[i] = q_a$ for some queue q_a then all edges in $G[V_{i,P}, V_{j,Q}]$ are in q_a . Similarly, if $Q[i] = \text{‘r’}$ and $P[j] = q_a$ for some queue q_a then all edges in $G[V_{i,P}, V_{j,Q}]$ are in q_a . Finally, consider the case in which $P[j] = q_a$ and $Q[i] = q_b$ for some queues q_a and q_b . If $a \neq b$ then there are no edges in $G[V_{i,P}, V_{j,Q}]$, and if $a = b$ then all edges in $G[V_{i,P}, V_{j,Q}]$ are in queue $q_a (= q_b)$. In each case, all edges in $G[V_{i,P}, V_{j,Q}]$ are in a single queue. By Lemma 2, $V_{i,P}$ and $V_{j,Q}$ form an ordered 2-layering of $G[V_{i,P}, V_{j,Q}]$ with no X-crossing. In general, $\{V_{i,L} : 1 \leq i \leq c, L \in S_i\}$ is an ordered layering of G with no X-crossing and $c(k' + 1)^{c-1} = c(2(c-1)k+1)^{c-1}$ layers. \square

We now prove the main result.

Proof of Theorem 1. Let G be an n -vertex graph in some proper minor-closed family of graphs. Nešetřil and Ossona de Mendez [23] prove that $\chi_{\text{st}}(G) \leq c$ for some constant c . Let $F(n)$ be a set of functions closed under taking polynomials. If G has a $F(n) \times F(n) \times O(n)$ three-dimensional drawing then, by Lemma 1, G has an ordered k -layering with no X-crossing, for some $k \in F(n)$. By Lemma 3, G has queue number at most $\lfloor \frac{k^2}{4} \rfloor + 1 \in F(n)$. Conversely, if G has queue number $k \in F(n)$ then, by Lemma 4, G has an ordered t -layering with no X-crossing, where $t \leq c(2(c-1)k+1)^{c-1} \in F(n)$. By Lemma 1, G has a $F(n) \times F(n) \times O(n)$ three-dimensional drawing. \square

3 Conclusion

Let us return to the question that motivated this research. That is, does every planar graph have a three-dimensional drawing with linear volume? By Theorem 1, this question has an affirmative answer if every planar graph has $O(1)$ queue number. In 1992, Heath and Rosenberg [21] and Heath *et al.* [17] conjectured that every planar graph *does* have $O(1)$ queue number. Perhaps this conjecture was motivated by the desire to match the celebrated result of Yannakakis [32] that planar graphs have stack number at most 4.

More recently, Pemmaraju [25] provided ‘evidence’ that the planar graph obtained by repeated stellation of K_3 (that is, by adding a degree 3 vertex to every face) has non-constant queue number. This graph does have $O(\log n)$ queue number [25]. Pemmaraju [25] and Heath [private communication, 2002] conjecture that every planar graph has $O(\log n)$ queue number. By Theorem 1, this conjecture would imply that every planar graph has a three-dimensional drawing with $O(n \text{ polylog } n)$ volume.

Corollary 1 states that every series-parallel graph has a three-dimensional drawing with $O(n)$ volume. However the constant in this $O(n)$ bound is at least $6(2 \cdot 5 \cdot 3 + 1)^5 \approx 1.7 \times 10^8$. (Fertin *et al.* [13] prove a tight bound of $\chi_{\text{st}}(G) \leq 6$ for 2-trees G .) It is an interesting open problem to determine if a linear volume bound with a smaller constant can be achieved.

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