# Simultaneous Diagonal Flips in Plane Triangulations

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# Simultaneous Diagonal Flips in Plane Triangulations \*

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#### Abstract

Simultaneous diagonal flips in plane triangulations are investigated. It is proved that every triangulation with at least six vertices has a simultaneous flip into a 4-connected triangulation. This result is used to prove that for any two n-vertex triangulations, there exists a sequence of  $O(\log n)$  simultaneous flips to transform one into the other. The total number of individual edges flipped in this sequence of  $O(\log n)$  simultaneous flips is O(n). The maximum size of a simultaneous flip is then studied. It is proved that every simultaneous flip has at most n-2 edges, and there exist triangulations with a maximum simultaneous flip of  $\frac{6}{7}(n-2)$  edges. On the other hand, it is shown that every triangulation has a simultaneous flip of at least  $\frac{2}{3}(n-2)$  edges.

### 1 Introduction

Let G = (V, E) be a triangulation; that is, a simple planar graph with a fixed plane (combinatorial) embedding such that every face consists of three edges. Let vw be an edge of G. Let x and y be the vertices such that vw is incident to the faces vwx and wvy. If xy is not an edge of G then vw is flippable. Suppose vw is flippable. Let G' be the triangulation obtained from G by deleting vw and adding the edge xy, such that in the cyclic order of edges incident to x (respectively, y), xy is added between xv and xw (yw and yv). We say G is flipped into G' by vw. This operation is called a (diagonal) flip, and is illustrated in Figure 1.

Diagonal flips in planar triangulations are widely studied [10, 11, 14, 15, 17, 19, 21, 23, 27]. Wagner [27] proved that there is finite sequence of diagonal flips to transform a given triangulation into any other with the same number of vertices. In particular, it is proved that any triangulation can be transformed into the triangulation shown in Figure 2. Komuro [14] proved that in fact O(n) flips suffice, and Gao et al. [10] proved that  $O(n \log n)$  flips suffice for labelled triangulations. Diagonal

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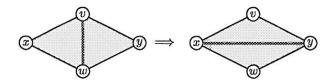


Figure 1: Edge vw is flipped into xy.

flips of triangulations on surfaces other than the sphere have also been widely studied [3, 6, 7, 16, 18–22, 28]

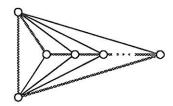


Figure 2: The canonical triangulation and a Hamiltonian cycle.

Two edges of a triangulation that are incident to a common face are *consecutive*. To simultaneously flip two edges in a triangulation G it is necessary that they are not consecutive. That is, the corresponding edges of the dual  $G^*$  have no vertex in common. (The dual  $G^*$  of G is the plane graph with one vertex for every face of G, such that two vertices of  $G^*$  are adjacent whenever the corresponding faces in G are incident to a common edge.)

Let S be a set of edges in a triangulation G such that S contains no two consecutive edges, and no parallel edges are produced by flipping every edge in S. Then we say S is (simultaneously) flippable. Note that it is possible for S to be flippable, yet S contains non-flippable edges, and it is possible for every edge in S to be flippable, yet S itself is not flippable. The graph obtained from a triangulation S by flipping every edge in a flippable set S is denoted by S. We say S is flipped into S by S. This operation is called a simultaneous (diagonal) flip. As far as the authors are aware, simultaneous flips have only been studied in the more restrictive context of geometric triangulations of a point set S. Sequential flips flips have also been studied in a geometric context S.

Our first result states that every triangulation can be transformed by one simultaneous flip into a Hamiltonian triangulation; that is a triangulation containing a spanning cycle. This result is presented in Section 2. In Section 3 we prove that for any two n-vertex triangulations, there exists a sequence of  $O(\log n)$  simultaneous flips to transform one into the other. This result is optimal for many pairs of triangulations. For example, if one triangulation has  $\Theta(n)$  maximum degree and the other has O(1) maximum degree, then  $\Omega(\log n)$  simultaneous flips are needed, since one simultaneous flip can at most halve the degree of a vertex. This also holds for diameter instead of maximum degree. Finally in Section 4 the maximum size of a simultaneous flip is studied. It is proved that every simultaneous flip

has at most n-2 edges, and there exist triangulations with a maximum simultaneous flip of  $\frac{6}{7}(n-2)$  edges. On the other hand, it is shown that every triangulation has a simultaneous flip of  $\frac{2}{3}(n-2)$  edges.

### 2 Flipping into a Hamiltonian Triangulation

We have the following sufficient condition for a set of edges to be flippable. A cycle C in a triangulation G is separating if deleting the vertices of C from G produces a disconnected graph. A 3-cycle in a triangulation is called a triangle.

**Lemma 1.** Let S be a set of edges in a triangulation G such that no two edges of S appear in a common triangle, and every edge in S is in a separating triangle. Then S is flippable.

Proof. Since no two edges of S appear in the same triangle, no two edges in S are consecutive. Suppose, for the sake of contradiction, that  $G\langle S\rangle$  has parallel edges  $e_1=vw$  and  $e_2=vw$ . Let S' be the set of edges in  $G\langle S\rangle$  that are not in G. Initially suppose that exactly one of  $e_1$  and  $e_2$ , say  $e_1$ , is in S'; see Figure 3(a). Let xy be the edge of G flipped to  $e_1$ . By assumption, xy is in a separating triangle xyz of G. Then in G, z is either inside the triangle  $\{e_2, vx, xw\}$  or outside the triangle  $\{vy, yw, e_2\}$ .  $(z \neq v$  and  $z \neq w$  as otherwise xyz would not be a separating triangle.) However, in these cases, z could not be adjacent to y and x, respectively, without breaking planarity. Now suppose that  $e_1$  and  $e_2$  are both in S'; see Figure 3(b). Let xy be the edge of G flipped to  $e_1$ , and let rs be the edge of G flipped to  $e_2$ . By assumption, each of xy and xs is in a separating triangle of G. Let xy and xy are separating triangles. For xyz and xyz to be separating triangles of G, it must be the case that z = r, z = r, z = r, and z = r. In either case, z = r are in a common triangle in z = r, contradicting the initial assumption. In each case, we have a contradiction. Hence z = r is flippable.

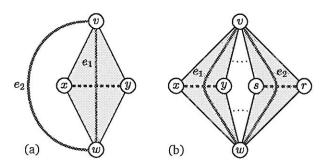


Figure 3: Dashed edges are flipped to create bold parallel edges. Shaded regions are faces.

Lemma 1 gives a sufficient condition for a set of edges S to be flippable; namely, every edge in S is in a separating triangle. This condition can be dropped if G is 5-connected.

**Lemma 2.** Let S be a set of edges in a 5-connected triangulation G. Then S is flippable if and only if no two edges in S are consecutive.

*Proof.* If two edges are parallel in G(S) then there is a separating 4-cycle in G; see Figure 3(b).  $\square$ 

**Lemma 3.** Let G be a triangulation with  $n \ge 6$  vertices. Let S be a set of edges in G that satisfy Lemma 1 such that every separating triangle contains an edge in S. Then G(S) is 4-connected.

*Proof.* Suppose for the sake of contradiction, that G(S) contains a separating triangle T = uvw. Let S' be the set of edges in G(S) that are not in G. We proceed by case-analysis on  $|T \cap S'|$  (refer to Figure 4). Since every separating triangle in G has an edge in S,  $|T \cap S'| \ge 1$ .

Case 1.  $|T \cap S'| = 1$ : Without loss of generality,  $vw \in S'$ ,  $uv \notin S'$ , and  $uw \notin S'$ . Suppose xy was flipped to vw. Then xy is in a separating triangle xyp in G. By an argument similar to that in Lemma 1, p = u. Since G has at least six vertices, at least one of the triangles  $\{uvx, uvy, uwx, uwy\}$  is a separating triangle. Thus at least one of the edges in these triangles is in S. Since  $xy \in S$ , and no two edges of S appear in a common triangle,  $\{ux, uy, vx, vy, wx, wy\} \cap S = \emptyset$ . Thus uv or uw is in S. But then uvw is not a triangle in G(S), which is a contradiction.

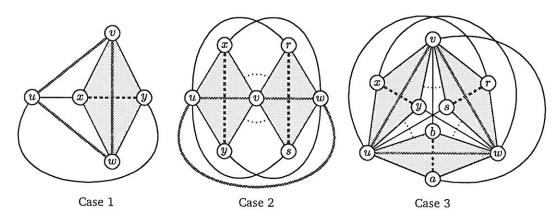


Figure 4: Dashed edges are flipped to create a bold separating triangle. Shaded regions are faces.

Case 2.  $|T \cap S'| = 2$ : Without loss of generality,  $uv \in S'$ ,  $vw \in S'$ , and  $uw \notin S'$ . Suppose xy was flipped to uv, and rs was flipped to vw. Without loss of generality, y and s are inside uvw in  $G\langle S \rangle$ . Then in G, xy was in a separating triangle xyz, and rs was in a separating triangle rst. By an argument similar to that in Lemma 1, z = w and t = u. But then the subgraph of G induced by  $\{u, v, w, x, y, r, s\}$  is not planar, or it contains parallel edges in the case that x = r and y = s.

Case 3.  $|T \cap S'| = 3$ : Suppose xy was flipped to uv, rs was flipped to vw, and ab was flipped to uw. Without loss of generality, y, s and b are inside uvw in  $G\langle S \rangle$ . In G, xy was in a separating triangle xyz, rs was in a separating triangle rst, and ab was in a separating triangle abc. By an argument similar to that in Lemma 1, z = w, t = u, and c = v. But then the subgraph of G induced by  $\{u, v, w, x, y, r, s, a, b\}$  is non-planar, or contains parallel edges in the case that y = s = b and x = r = a.

In each case we have derived a contradiction. Therefore G(S) has no separating triangle, and thus is 4-connected.

Observe that the restriction in Lemma 3 to triangulations with at least six vertices is unavoidable. Every triangulation with at most five vertices has a vertex of degree three, and is thus not 4-connected.

We now consider how to determine a set of flippable edges. We will need the following strengthening of Petersen's matching theorem [24] due to Biedl *et al.* [2].

**Lemma 4 ([2]).** For every edge e of a 3-regular bridgeless planar graph H with n vertices, there is a perfect matching of H containing e that can be computed in O(n) time.

The dual  $G^*$  of an n-vertex triangulation G is a 3-regular bridgeless planar graph with O(n) vertices. By Lemma 4 we have:

**Lemma 5.** Let e with an edge of an n-vertex triangulation G. Then G has a set of edges M that can be computed in O(n) time such that  $e \in M$  and every face of G has exactly one edge in M.

Note that Lemma 5 only accounts for triangles of G that are faces.

**Lemma 6.** Let e be an edge of an n-vertex triangulation G. Then G has a set of edges S such that  $e \in S$  and every triangle of G has exactly one edge in S.

Proof. We proceed by induction on the number of separating triangles. Suppose G is a triangulation with no separating triangles. By Lemma 5, G has a set of edges S such that  $e \in S$  and every face of G has exactly one edge in S. Since G has no separating triangles, S has exactly one edge for every triangle of G. Now suppose G has K > 0 separating triangles, and the lemma holds for triangulations with less than K separating triangles. Let K be a separating triangle of K. Let the components of K have vertex sets K and K consider the induced subgraphs K and K and K and K separating triangles. By induction K suppose the given edge K is in K such that K separating triangles. By induction K has a set of edges K such that K separating triangles. By induction K has a set of edges K such that K separating triangles of K has a set of edge in K has a set of edges K such that K separating triangle of K has a set of edge in K has a set of edges of K such that K separating triangle of K has a set of edge in K has a set of edges of K such that K separating triangle of K has exactly one edge in K has a set of edges of K such that K separating triangle of K has exactly one edge in K has a set of edges of K such that K separating triangle of K has exactly one edge in K has a set of edges of K such that K separating triangle of K has exactly one edge in K has exactly on

By taking as a flip set those edges in the set S from Lemma 6 that are in some separating triangle, Lemma 3 implies that every triangulation with at least six vertices has a simultaneous flip into a 4-connected triangulation. However, it is not obvious how to implement Lemma 6 in O(n) time. In what follows we show how to do this.

First we outline a few properties of separating triangles. Let T be a separating triangle of a triangulation G. Removing the vertices of T from G disconnects the graph into two connected components. One of the two components, called the *inner component*, does not contain any of vertices of the outerface. The other component is called the *outer component*. Denote by int(T) the set of vertices of the inner component, and by ext(T) the set of vertices of the outer component. Define a *containment* relation, denoted by  $\preceq$ , on the set of separating triangles of G as follows. For all separating triangle  $T_1$ 

and  $T_2$  of G, let  $T_1 \preceq T_2$  whenever  $\operatorname{int}(T_1) \subseteq \operatorname{int}(T_2)$ . Clearly  $\preceq$  is a partial order. Let R be a total order on the set of separating triangles of G that is an extension of  $\preceq$ .

We first show how to compute R in O(n) time. We then show how to use R to compute the set S in Lemma 6 in O(n) time. A useful tool in the computation of R is the canonical ordering of de Fraysseix et al. [8]. Let G be a plane triangulation with outerface abc. An ordering of the vertices  $v_1 = a, v_2 = b, v_3, \ldots, v_n = c$  is canonical if the following conditions hold for all  $1 \le i \le n$ :

- 1. The subgraph  $G_{i-1}$  induced by  $v_1, v_2, \ldots, v_{i-1}$  is 2-connected, and the boundary of its outerface is a cycle  $C_{i-1}$  containing the edge ab;
- 2. The vertex  $v_i$  is in the outerface of  $G_i$ , and the set of vertices in  $G_{i-1}$  that are adjacent to  $v_i$  form a subinterval of the path  $C_{i-1} \setminus \{ab\}$  consisting of at least two vertices.

de Fraysseix et al. [8] proved that every triangulation has a canonical ordering, and Chrobak and Payne [5] proved that it can be computed in O(n) time. Given a canonical ordering, define the level of a separating triangle T, denoted by  $\ell(T)$ , as the largest index in the canonical order of the vertices of T.

**Lemma 7.** Let  $T_1$  and  $T_2$  be separating triangles such that  $\ell(T_1) < \ell(T_2)$ . Then  $T_1 \leq T_2$  or  $int(T_1) \cap int(T_2) = \emptyset$ .

Proof. Let  $T_1=abc$  and  $T_2=xyz$ . For the sake of a contraction, suppose that  $T_2 \preceq T_1$ . Then  $\operatorname{int}(T_2) \subset \operatorname{int}(T_1)$  since  $T_1$  and  $T_2$  are distinct. Without loss of generality, let c be the vertex of  $T_1$  defining  $\ell(T_1)=i$  and z be the vertex of  $T_2$  defining  $\ell(T_2)=j$ . Since  $\ell(T_1)=i<\ell(T_2)=j$ , c is distinct from z and the canonical index of c is smaller than that of c. By the canonical ordering, no vertex in  $\operatorname{int}(T_1)$  is on the outerface of any  $G_k$  for  $k \geq i$ . Since c occurs after c in the canonical ordering, this implies that all the vertices adjacent to c in  $\operatorname{int}(T_2)$  are on the outerface of c but none of these vertices are in  $\operatorname{int}(T_1)$ , which is the desired contraction.

**Lemma 8.** A total order R of the separating triangles of an n-vertex plane triangulation G that is an extension of  $\leq$  can be computed in O(n) time.

Proof. First note a canonical ordering can be computed in O(n) time [5]. Lemma 7 implies that if all of the separating triangles of G have different levels, then ordering them by increasing level gives us the total order R. What remains is to order the separating triangles at the same level. The separating triangles at the same level share a common vertex  $v_i$  whose canonical index i defines the level of the separating triangles. By definition, the set of vertices of  $G_{i-1}$  adjacent to  $v_i$  form a path  $P = p_1, p_2, \ldots, p_k$  on the boundary of the outerface of  $G_{i-1}$ . Every separating triangle of G at level i consists of the vertex  $v_i$  and two non-consecutive vertices of P. To establish the containment relation between these triangles, we simply need to look at the indices of the vertices of P. Let  $T_1 = v_i p_a p_b$  and  $T_2 = v_i p_c p_d$  be distinct separating triangles with a < b and c < d. If  $a < b \le c < d$  or  $c < d \le a < b$  then  $\operatorname{int}(T_1) \cap \operatorname{int}(T_2) = \emptyset$  by the canonical ordering. It is impossible for a < c < b < d or c < d < d b then since the graph induced on P is outerplanar and this would violate planarity. If  $a \le c < d \le b$  then

 $T_2 \preceq T_1$  and if  $c \le a < b \le d$  then  $T_1 \preceq T_2$ . Since we can compute the graph induced on  $p_1, p_2, \ldots, p_k$  in O(k) time, all of the separating triangles at level i can be ordered in O(k) time by performing a breadth-first search on the graph induced on P. The result follows since the sum of the degrees of a plane graph is O(n).

We now turn our attention to computing the set S from Lemma 6 in O(n) time. Denote by Face-Set(G, xy) the set M from Lemma 5 such that every face of G has exactly one edge in M, and if xy is specified then  $xy \in M$ . Let  $R = T_1, T_2, \ldots, T_k$  be the ordered separating triangles of G. Note that each  $T_i$  is a face of  $G \setminus \operatorname{int}(T_i)$  and any separating triangle  $T_i$  of G in  $G \setminus \operatorname{ext}(T_i)$  has j < i.

#### FlipSet(G,R,S,M)

Input: a triangulation G, the ordered list of separating triangles R, M initially empty, S initially empty. Output: a set of edges M of G such that every face of G is incident to exactly one edge of M, and a set of edges S of G such that every separating triangle of G has exactly one edge in S and no triangle of G contains two edges of S.

```
    if |R| > 0 then
    Let T = abc be the first triangle in R.
    FlipSet(G \ int(T), R \ t, S, M)
    Let xy be the one edge of ab, bc, or ac that is in M
    Let S = S ∪ {xy}
    Let M = M ∪ FaceSet(G \ ext(T), xy)
    else
    Let M = M ∪ FaceSet(G, unspecified)
    end if
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We now prove the correctness and running time of the algorithm.

**Lemma 9.** Let G be an n-vertex triangulation. A set of edges S can be computed in O(n) time, such that every separating triangle of G is adjacent to one edge of S, and no triangle of G is adjacent to more than one edge of S.

*Proof.* We proceed by induction on |R|. Suppose that |R| = 0. That is, there are no separating triangles and so the set S should be empty. Algorithm  $\operatorname{FlipSet}(G,R)$  correctly computes S and M with a call to  $\operatorname{FaceSet}(G)$ . Now assume that for |R| < k, k > 0,  $\operatorname{FlipSet}(G,R,S,M)$  correctly computes S and M.

Let |R| = k. Let T = abc be the first triangle of R. By the inductive hypothesis, the call to  $\operatorname{FlipSet}(G \setminus \operatorname{int}(T), R \setminus T, S, M)$  correctly computes S and M in  $G \setminus \operatorname{int}(T)$ , since  $|R \setminus t| < k$ , and  $R \setminus T$  is a total order on all separating triangles in  $G \setminus \operatorname{int}(T)$  that extends  $\preceq$ . What remains is the edge for separating triangle T and the edges in  $G \setminus \operatorname{ext}(T)$ . The addition of xy to S in line S, guarantees that S is correct for S since there are no separating triangles in  $S \setminus \operatorname{ext}(T)$  by the fact that S is the first separating triangle in S. The call to S are S and S are S are S and S are S are S and S are S and S are S are S and S are S and S are S are S and S are S are S and S are S and S are S are S and S are S and S are S are S and S are S and S are S are S and S are S and S are S are S and S are S are S are S and S ar

The running time of the algorithm is described by the recurrence T(n) = T(n-k) + O(k), where k is the size of int(T). This recurrence solves to O(n).

**Theorem 1.** Every triangulation G with  $n \ge 6$  vertices has a simultaneous flip into a 4-connected triangulation that can be computed in O(n) time.

*Proof.* By Lemma 9, G has a set of edges S such that every separating triangle of G has exactly one edge in S and no triangle of G contains two edges of S. By Lemma 1, S is flippable. By Lemma 3, G(S) is 4-connected.

We can obtain a stronger result at the expense of a slower algorithm. The following consequence of the 4-colour theorem is essentially a Tait edge-colouring [26].

**Lemma 10.** Every n-vertex planar graph G has an edge 3-colouring that can be computed in  $O(n^2)$  time, such that every triangle receives three distinct colours.

*Proof.* Robertson *et al.* [25] prove that G has a proper vertex 4-colouring that can be computed in  $O(n^2)$  time. Let the colours be  $\{1,2,3,4\}$ . Colour an edge *red* if its endpoints are coloured 1 and 2, or 3 and 4. Colour an edge *blue* if its endpoints are coloured 1 and 3, or 2 and 4. Colour an edge *green* if its endpoints are coloured 1 and 4, or 2 and 3. Since each triangle T of G receives three distinct vertex colours, T also receives three distinct edge colours.

**Theorem 2.** Let G be a triangulation with  $n \geq 6$  vertices. Then G has three pairwise disjoint flippable sets of edges  $S_1, S_2, S_3$  that can be computed in  $O(n^2)$  time, such that each  $G(S_i)$  is 4-connected.

*Proof.* By Lemma 10, G has an edge 3-colouring such that every triangle receives three distinct colours. For any of the three colours, let S be the set of edges receiving that colour and in a separating triangle of G. By Lemma 1, S is flippable. By Lemma 3, G(S) is 4-connected.

We have the following corollary of Theorems 1 and 2, since every triangulation on at most five vertices (that is,  $K_3$ ,  $K_4$  or  $K_5 \setminus e$ ) is Hamiltonian, and every 4-connected triangulation has a Hamiltonian cycle [29] that can be computed in O(n) time [4].

**Corollary 1.** Every n-vertex triangulation G has a simultaneous flip into a Hamiltonian triangulation that can be computed in O(n) time. Furthermore, G has three disjoint simultaneous flips that can be computed in  $O(n^2)$  time, such that each transforms G into a Hamiltonian triangulation.

# 3 Simultaneous Flips Between Given Triangulations

In this section we prove the following theorem.

**Theorem 3.** Let  $G_1$  and  $G_2$  be triangulations on n vertices. There is a sequence of  $O(\log n)$  simultaneous flips to transform  $G_1$  into  $G_2$ .

The main idea in the proof of Theorem 3 is that  $G_1$  and  $G_2$  can each be transformed by  $O(\log n)$  simultaneous flips into the canonical triangulation shown in Figure 2. Thus to transform  $G_1$  into  $G_2$  we first transform  $G_1$  into the canonical triangulation, and then apply the corresponding flips for  $G_2$ 

in reverse order. To transform a given triangulation into the canonical triangulation we first apply Corollary 1 to obtain a Hamiltonian triangulation with one simultaneous flip. We thus have two outerplanar graphs whose intersection is the Hamiltonian cycle. With  $O(\log n)$  simultaneous flips we transform each of these outerplanar graphs so that the internal edges of each graph form a star rooted at adjacent vertices. This is the canonical triangulation. Since outerplanar graphs play an important role in the proof of Theorem 3, we begin by outlining some of their properties.

#### 3.1 Outerplanar graphs

An outerplanar graph is a plane graph where every vertex lies on a specified face, called the *outerface*. An outerplanar graph is *maximal* if every face is a triangle, except for possibly the outerface. In the remainder of this section, all outerplanar graphs considered are maximal. An edge on the outerface of an outerplanar graph is called *external*, and an edge that is not on the outerface is *internal*. It is well known that a maximal outerplanar graph with n vertices has 2n-3 edges, and is 3-colourable.

Let G = (V, E) be a maximal outerplanar graph. Let  $G^*$  denote the *weak dual* of G. That is, the dual of G without the vertex corresponding to the outerface. Observe that  $G^*$  is a tree with maximum degree at most three.

The notions of diagonal flip and flippable set for triangulations are extended to maximal outerplanar graphs in the natural way, except that only internal edges are allowed to be flipped. (It is not clear what it means to flip an edge of the outerface since for n > 3, the outerface is not a triangle.)

Lemma 11. Every internal edge of a maximal outerplanar graph G is flippable.

*Proof.* Suppose that an internal edge e is not flippable; that is, flipping e to an edge e' results in a parallel edge. Then e' is already in G. But this is a contradiction, since the outerface along with e and e' form a subdivision of  $K_4$ , and it is well known that an outerplanar graph has no subdivision of  $K_4$ .

The next result follows immediately from Lemma 11.

**Lemma 12.** Let S be a set of internal edges in a maximal outerplanar graph G. Then S is flippable if and only if the corresponding dual edges  $S^*$  form a matching in  $G^*$ .

**Lemma 13.** Any set S of internal edges in a maximal outerplanar graph G can be removed from G with three simultaneous flips.

*Proof.* By the construction used in Lemma 10 we obtain an edge 3-colouring of G such that each internal face is trichromatic. (Note that the 4-colour theorem is not needed here since G is 3-colourable.) Suppose the edge colours are red, blue, and green. Each colour class corresponds to a matching in the dual. By Lemma 12, the red edges in G are flippable. Let G' be the maximal outerplanar graph obtained by flipping the red edges in G. Blue edges might be consecutive in G', and similarly for green edges. However, the subgraph of the dual induced by the blue and green edges has maximum degree at most two (since  $G^*$  has maximum degree at most three), and thus consists of a set of disjoint paths

(since  $G^*$  is a tree). Thus two simultaneous flips are sufficient to flip the red and blue edges in S. Clearly no edge in S is flipped back into the maximal outerplanar graph obtained.

Note that in Lemma 13, the resulting graph may be different depending on the choice of colouring. Recall that our aim is to transform a maximal outerplanar graph into one in which the internal edges form a star. The next lemma shows how to do this.

**Lemma 14.** Let v be a vertex of a maximal outerplanar graph G whose dual tree has diameter k. Then G can be transformed by at most k simultaneous flips into a maximal outerplanar graph in which v is adjacent to every other vertex.

*Proof.* Let P be the set of internal faces incident with v in G. In the dual  $G^*$ , the corresponding vertices of P forms a path  $P^*$ . Define the *distance* of each vertex x in  $G^*$  as the minimum number of edges in a path from x to a vertex in  $P^*$ . Since the diameter of the dual tree is k, every vertex in  $G^*$  has distance at most k. No two vertices in  $G^*$  both with distance one are adjacent, as otherwise  $G^*$  would contain a cycle. Each vertex of  $P^*$  is adjacent to at most one vertex at distance one, since the endpoints of  $P^*$  correspond to faces with an edge on the outerface. Therefore the set of edges incident to  $P^*$  but not in  $P^*$  forms a matching between the vertices at distance one and the vertices of  $P^*$ , such that all vertices at distance one are matched. By Lemma 12, these edges can be flipped simultaneously. After doing so, the distance of each vertex not adjacent to  $P^*$  is reduced by one. Thus, by induction, at most k simultaneous flips are required to reduce the distance of every vertex in  $G^*$  to zero, in which case v is adjacent to every other vertex of G.

Lemma 14 suggests that reducing the diameter of the dual tree is a good strategy. In what follows all logarithms have base 2.

Lemma 15. Every maximal outerplanar graph with n vertices can be transformed by a sequence of at most  $3 \log n / (\log 3 - 1)$  simultaneous flips into a maximal outerplanar graph whose dual tree has diameter at most  $2 \log n / (\log 3 - 1)$ .

*Proof.* We proceed by induction on n. The lemma holds trivially for n=3. Assume the lemma holds for all outerplanar graphs with less than n vertices. Let G be a maximal outerplanar graph with n vertices. Since G is 3-colourable, G has an independent set G of at least G vertices. Let G be the set of internal edges incident to any vertex in G. By Lemma 13, G can be transformed by three simultaneous flips into a maximal outerplanar graph G' containing no edge in G. Since G is an independent set, each vertex in G has degree two in G'. Let  $G'' = G' \setminus G$ . Then G'' is maximal outerplanar with at most G vertices. By the inductive hypothesis, G'' can be transformed by G (log G 1) simultaneous flips into a maximal outerplanar graph G''' whose dual has diameter at most G (log G 1) as desired. Adding the vertices in G which have degree two, back into G''' increases the diameter of the dual tree by at most two, since degree two vertices correspond to leaves in the dual tree. Therefore, the diameter is G 1 as desired.

Lemmata 14 and 15 imply:

**Lemma 16.** Let v be a vertex of a maximal outerplanar graph G with n vertices. Then G can be transformed by a sequence of at most  $5 \log n / (\log 3 - 1) \approx 8.5 \log n$  simultaneous flips into a maximal outerplanar graph in which v is adjacent to every other vertex.

### 3.2 Transforming one triangulation into another

We now describe how to transform a given n-vertex triangulation into any other n-vertex triangulation by a sequence of  $O(\log n)$  simultaneous flips. As described at the start of Section 3, it suffices to prove that every triangulation G can be transformed by  $O(\log n)$  flips into the canonical triangulation. The first step is to transform G into a Hamiltonian triangulation with one simultaneous flip by Corollary 1. Thus, our starting point is an n-vertex triangulation G = (V, E) containing a Hamiltonian cycle H. The cycle H naturally partitions G into two subgraphs  $G_I = (V, E_I)$  and  $G_E = (V, E_O)$  such that  $E_I \cap E_O = \emptyset$  and both  $G_I \cup H$  and  $G_O \cup H$  are maximal outerplanar.

At this point, it is tempting to apply Lemma 16 twice, once on  $G_I \cup H$  and once on  $G_O \cup H$  to reach the canonical triangulation. However, the lemma cannot be applied directly since we need to take into consideration the interaction between these two outerplanar subgraphs. The main problem is that an edge e in  $G_I$  may not be flippable since its corresponding flipped edge e' may already be in  $G_O$ .

**Lemma 17.** Suppose that an edge e in  $E_I$  can be flipped in  $G_I \cup H$  to an edge e', but e cannot be flipped in G because e' is already in G. Then e' can be flipped in G, provided that  $n \geq 5$ .

*Proof.* The edge e' must be in  $G_O \cup H$ . By Lemma 11, e' can be flipped to an edge e'' in  $G_O \cup H$ . The only way that e' is not flippable in G is if e'' is in  $G_I \cup H$ . But as in Lemma 11, e'' cannot be in  $G_I \cup H$ . Therefore, e' is flippable in G.

**Lemma 18.** A simultaneous flip S in  $G_I$  can be implemented by four simultaneous flips in G (ignoring the effect in  $G_O$ ).

*Proof.* Let S' be the set of edges in S that are flippable in G. Let  $S'' = S \setminus S'$ . Let  $S''_O$  be the edges in  $G_O$  blocking the edges in S''. By Lemma 17, each edge in  $S''_O$  is flippable. By Lemma 13, the edges in  $S''_O$  can be removed with three simultaneous flips. Observe that S' can be simultaneously flipped with one of the flips for  $S''_O$ . Once all of the edges in  $S''_O$  are removed from G, the edges in S'' can be simultaneously flipped. In total we have four simultaneous flips.

Lemmata 16 and 18 imply:

**Lemma 19.** Let v be a vertex of G. Then  $G_I \cup H$  can be transformed by a sequence of at most  $20 \log n / (\log 3 - 1)$  simultaneous flips into a maximal outerplanar graph in which v is adjacent to every other vertex.

**Lemma 20.** Let G be a triangulation with n vertices. Then G can be transformed by a sequence of  $25 \log n / (\log 3 - 1)$  simultaneous flips into the canonical triangulation.

*Proof.* Apply one simultaneous flip to G to obtain a Hamiltonian triangulation (Corollary 1). Define H,  $G_I$  and  $G_O$  as above. Let vw be an edge of the Hamiltonian cycle. By Lemma 19,  $G_I$  can be transformed by a sequence of at most  $20 \log n / (\log 3 - 1)$  simultaneous flips into a maximal outerplanar graph in which v is adjacent to every other vertex. By Lemma 16,  $G_O$  can be transformed by a sequence of at most  $5 \log n / (\log 3 - 1)$  simultaneous flips into a maximal outerplanar graph in which w is adjacent to every other vertex. Observe that the edges in  $G_I$  do not interfere with the flips in  $G_O$  since every internal edge in  $G_I$  is incident to v, and hence there are no edges incident to v in  $E_O$ . The triangulation obtained is the canonical triangulation. The total number of flips is  $1 + 25 \log n / (\log 3 - 1)$ .

Proof of Theorem 3. By Lemma 20, each of  $G_1$  and  $G_2$  can be transformed by  $1+25\log n/(\log 3-1)$  simultaneous flips into the canonical triangulation. To transform  $G_1$  into  $G_2$  first transform  $G_1$  into the canonical transformation, and then apply the flips for  $G_2$  in reverse order to transform the canonical triangulation into  $G_2$ . The total number of simultaneous flips is  $2+50\log n/(\log 3-1)\approx 87\log n$ .  $\square$ 

Note that although there are  $O(\log n)$  simultaneous flips in Theorem 3, each of which may involve a linear number of edges, the total number of individual flips is O(n).

**Theorem 4.** Let  $G_1$  and  $G_2$  be triangulations on n vertices. There is a sequence of  $O(\log n)$  simultaneous flips to transform  $G_1$  into  $G_2$ , that use O(n) individual flips in total.

*Proof.* It suffices to prove that there are O(n) flips in Lemmata 14 and 15, since at most n edges are flipped to make the graph Hamiltonian, and there are constant times as many flips in Theorem 3 as there are in Lemmata 14 and 15. For Lemma 14, a vertex is at distance 1 only once, and only the vertices at distance 1 are involved in one of the parallel flips. Therefore, we flip at most n edges since there are at most n vertices. For Lemma 15, O(n) edges are flipped to obtain a triangulation on  $\frac{2n}{3}$  vertices. Therefore, the number of edges flipped T(n) satisfies the recurrence  $T(n) = T(\frac{2n}{3}) + O(n)$ , which solves to O(n). Thus, the total number of edges flipped is O(n).

Therefore Theorem 4 provides an alternative proof of the result by Komuro [14] mentioned in Section 1 that O(n) individual flips suffice to transform one n-vertex triangulation into any other.

### 4 Large Simultaneous Flips

In this section we prove bounds on the size of a maximum simultaneous flip in a triangulation. For any triangulation G, let  $\mathsf{msf}(G)$  be the maximum size of a flippable set of edges in G. Note that Gao et al. [10] prove that every triangulation has at least n-2 (individually) flippable edges, and every triangulation with minimum degree four has at least 2n+3 (individually) flippable edges.

**Lemma 21.** For every triangulation G with n vertices,  $msf(G) \le n-2$ .

*Proof.* Let S be a flippable set of edges of G. Every edge in S is incident to two distinct faces, and no other edge on each of these faces is in S. (Otherwise there would be two consecutive edges in S.) There are 2n-4 faces in a triangulation. Thus  $|S| \leq n-2$ .

**Lemma 22.** For every 5-connected triangulation G with n vertices, msf(G) = n - 2.

*Proof.* By Lemma 21,  $msf(G) \le n-2$ . By Lemma 6, G has a set of edges S such that every triangle of G has exactly one edge in S. By the argument employed in Lemma 21, |S| = n-2. By Lemma 2, S is flippable.

**Theorem 5.** For every triangulation G = (V, E) with n vertices,  $msf(G) \ge \frac{2}{3}(n-2)$ .

Proof. Let  $V_4 = \{v \in V : \deg(v) = 4\}$ . By  $G[V_4]$  we denote the subgraph of G induced by  $V_4$ . It is well known (see for example [1]) that the maximum degree of  $G[V_4]$  is at most two, unless G is the octahedron (that is, the 4-regular 6-vertex triangulation). The octahedron has a flippable set of  $3 > \frac{2}{3}(n-2)$  edges. Henceforth assume that G is not the octahedron. Thus each connected component of  $G[V_4]$  is a cycle or a path. Let G be a connected component of  $G[V_4]$  that is a cycle. Then either G is a face of G, in which case we say G is a facial component of  $G[V_4]$ , or  $G \setminus G$  consists of two vertices V and V0, each of which is adjacent to every vertex in V1. In the latter case, as illustrated in Figure 5, V2 has a flippable set of V3 edges (since V4 edges (since V5).

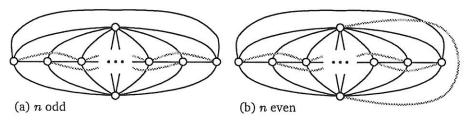


Figure 5: The triangulation with a non-facial cycle of degree four vertices has a flippable set of n-3 edges.

We henceforth assume that every cycle in  $G[V_4]$  is a facial component. Let C be the set of facial components of  $G[V_4]$ . Let  $P = (v_1, v_2, \ldots, v_k)$  be a connected component of  $G[V_4]$  that is a k-vertex path. We call P a k-path component of  $G[V_4]$ . It is easily seen that there exist two vertices in G, each of which is adjacent to every vertex in P, as illustrated in Figure 6. Let  $P_k$  be the set of k-vertex paths in  $G[V_4]$ .

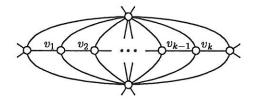


Figure 6: A k-path component.

For every edge vw of G, let pvw and qvw be the faces incident to vw. We say that the vertices p and q see vw. Edges vw and xy are a bad pair if vw and xy are seen by the same pair of vertices. An edge

is bad if it is a member of a bad pair. An edge is good if it is not bad. Observe that every edge incident to a vertex of degree four is bad.

Construct an auxiliary graph G' from G as follows. First, delete each facial component of  $G[V_4]$ . (Note that this operation and those to follow are *not* reiterated on the produced graph.) Now consider a k-path component  $P = (v_1, v_2, \ldots, v_k)$  in  $G[V_4]$  for some  $k \geq 1$ . Let the neighbours of P be the 4-cycle (a, b, c, d) such that  $bv_i$  and  $dv_i$  are edges of G for all i,  $1 \leq i \leq k$ . We say P is ac-connected (respectively, bd-connected) if ac (bd) is an edge of G, or there exists an edge e not incident to a vertex in P such that e is seen by a and c (b and d). Note that P is not both ac-connected and bd-connected, as otherwise there would be a subdivision of  $K_5$ . If P is ac-connected then in G', delete P and merge a and a into a single vertex av, as illustrated in Figure 7(a). If av is av-connected then in av-connected and av-connected or av-connected, apply either reduction.

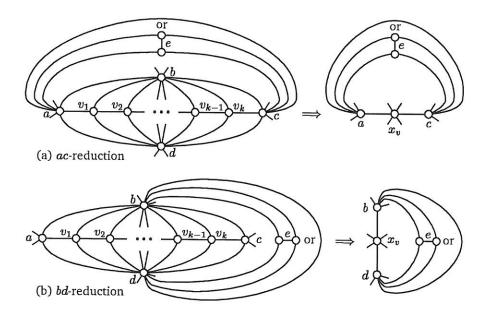


Figure 7: Reducing a path component.

In each merge operation, replace parallel edges on a single face of G' by a single edge. Thus G' is a plane multigraph with each face a triangle. Note that good edges of G are preserved in G'. Let n' be the number of vertices of G'. Then

$$n' = n - 3|\mathcal{C}| - \sum_{k \ge 1} (k+1)|\mathcal{P}_k| . \tag{1}$$

Let  $\{E'_1, E'_2, E'_3\}$  be the colour classes of a Tait edge 3-colouring of G' (see Lemma 10). For each  $i \in \{1, 2, 3\}$ , let  $S'_i$  be the subset of  $E'_i$  consisting of those edges corresponding to good edges in G.

If two bad edges are consecutive in G then their common endpoint has degree four. Since all the degree four vertices of G are not in G', no two bad edges of G appear on a single face of G'. Thus the number of bad edges of G also in G' is at most n'-2. Thus the number of good edges of G also in G' is at least 2(n'-2). By (1) we have

$$|S_1'| + |S_2'| + |S_3'| \ge 2(n'-2) \ge 2(n-2) - 6|\mathcal{C}| - 2\sum_{k \ge 1} (k+1)|\mathcal{P}_k| . \tag{2}$$

We claim that each  $S_i'$  is a flippable set of edges in G. Suppose that this is not the case. That is, there exist parallel edges e and f in  $G\langle S_i'\rangle$ . It is not the case that both e and f are edges of G, as otherwise they would form a bad pair, and all edges in  $S_i'$  are good. It is not the case that both e and f are not edges of G, as otherwise they would have been flipped from a bad pair of edges of G in  $S_i'$ , and all edges in  $S_i'$  are good. The remaining case is that one of e and f is in G and the other is not in G. Say e = vw is in G. Let  $pq \in S_i'$  be the edge of G that was flipped into f. Observe that pv is consecutive with pq, pw is consecutive with pq, and vwp is a triangle. Thus vw and pq receive the same colour in the Tait colouring. As proved in Lemma 1, vw is good. Thus  $vw \in S_i'$ , and vw is not in  $G\langle S_i \rangle$ , which is the desired contradiction. Thus each set  $S_i'$  is flippable in G.

Fix  $i \in \{1,2,3\}$ , and initialise  $S_i$  to be the edges of G corresponding to the set  $S_i'$ . For each component of  $G[V_4]$ , we now add edges to  $S_i$  so that it remains a flippable set of edges.

Consider a vertex v of degree 4 in G that is isolated in  $G[V_4]$ . Let (p,q,r,s) be the neighbours of v in G in cyclic order defined by the embedding, such that q and s are merged into a vertex x in G'. For all  $i,j \in \{1,2,3\}$ , let  $A^{ij}$  be the set of isolated vertices in  $G[V_4]$  such that the corresponding edges  $xp \in E_i$  and  $xr \in E_j$  (or  $xr \in E_i'$  and  $xp \in E_j'$ ). By the choice of reduction rule for v, qs is not an edge of G.

For each  $v \in A^{ii}$ , replace xp and xr in  $S_i'$  by  $\{pq, qr, rs, sp\}$  in  $S_i$ . As illustrated in Figure 8(a), these four edges are simultaneously flippable in G, since the degrees of p and r are both at least 5. For each  $v \in A^{ij}$  with  $j \neq i$ , replace xp in  $S_i'$  by  $\{pq, ps, qs\}$  in  $S_i$ . Since qs is not an edge of G, these three edges are simultaneously flippable in G, as illustrated in Figure 8(b). For each  $v \in A^{j\ell}$  with  $j \neq i$  and  $\ell \neq i$ , add qs to  $S_i$ . Since qs is not an edge of G, qs is flippable in G, as illustrated in Figure 8(c).

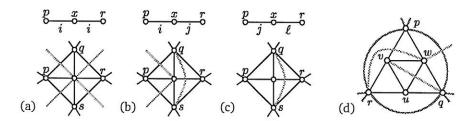


Figure 8: Adding to  $S_i$ : (a)-(c) for an isolated degree 4 vertex, and (d) for a facial component.

Consider a face-component  $\{u, v, w\}$  of  $G[V_4]$ , and let  $\{p, q, r\}$  be the vertices adjacent to u, v, and w, such that  $up, vq, wr \notin E$ . Then pqr is a separating triangle of G, and thus each of the edges  $\{pq, pr, qr\}$  are good. Every triangle of G receives three distinct colours in the Tait edge 3-colouring.

Thus exactly one of  $\{pq, pr, qr\}$  is in  $S_i'$ . Suppose without loss of generality that  $pq \in S_i'$ . Then  $\{vq, wr\}$  added to  $S_i$  forms a flippable set of edges in G, as illustrated in Figure 8(d).

Let  $B_{ij}$  be the set of k-vertex path components P of  $G[V_4]$  with  $k \geq 2$ , such that if P is replaced by the 2-edge path pxr in G' then  $xp \in E'_i$  and  $xr \in E'_j$  (or  $xr \in E'_i$  and  $xp \in E'_j$ ). As illustrated in Figure 9, For every k-path component of  $G[V_4]$  with  $k \geq 2$ , k edges can be added to  $S_i$ .

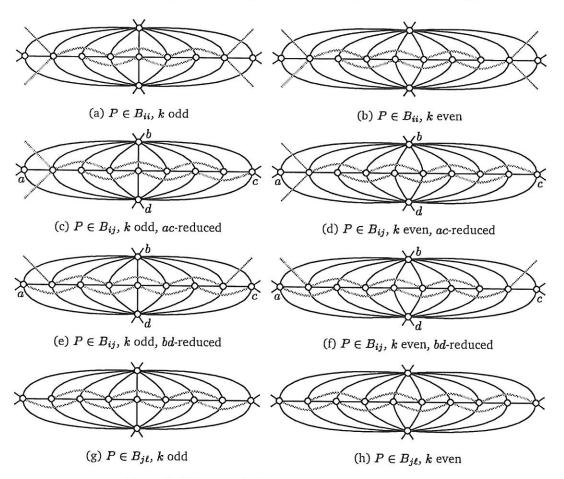


Figure 9: Enlarging the flip set for a path component P.

Let 
$$\{j,\ell\} = \{1,2,3\} \setminus \{i\}$$
. Then 
$$|S_i| = |S_i'| + 2|\mathcal{C}| + 2|A^{ii}| + 2|A^{ij}| + 2|A^{i\ell}| + |A^{j\ell}| + \sum_{k \geq 2} k \cdot |\mathcal{P}_k| \ .$$

Thus,

$$|S_1| + |S_2| + |S_3|$$

$$= |S_1'| + |S_2'| + |S_3'| + 6|\mathcal{C}| + 4(|A^{11}| + |A^{22}| + |A^{33}|) + 5(|A^{12}| + |A^{13}| + |A^{23}|) + 3\sum_{k \ge 2} k \cdot |\mathcal{P}_k|$$

$$\geq |S_1'| + |S_2'| + |S_3'| + 6|\mathcal{C}| + 4|\mathcal{P}_1| + 3\sum_{k \ge 2} k \cdot |\mathcal{P}_k| .$$

By (2),

$$|S_1| + |S_2| + |S_3| \ge 2(n-2) - 6|\mathcal{C}| - 2\sum_{k \ge 1} (k+1)|\mathcal{P}_k| + 6|\mathcal{C}| + 4|\mathcal{P}_1| + 3\sum_{k \ge 2} k \cdot |\mathcal{P}_k|$$

$$\ge 2(n-2) + \sum_{k \ge 2} (k-2)|\mathcal{P}_k|$$

$$\ge 2(n-2) .$$

Thus one of  $\{S_1, S_2, S_3\}$  is a flippable set of at least  $\frac{2}{3}(n-2)$  edges in G.

**Lemma 23.** There exists an infinite family  $\mathcal{F}$  of triangulations such that  $\mathsf{msf}(G) = \frac{6}{7}(n-2)$  for every n-vertex triangulation  $G \in \mathcal{F}$ .

*Proof.* Let  $G_0$  be an arbitrary triangulation with  $n_0$  vertices. Let G be the triangulation obtained from  $G_0$  by adding a triangle of three vertices inside each face uvw of G, each of which is adjacent to two of  $\{u, v, w\}$ . Let n be the number of vertices of G. Then  $n-2=n_0+3(2n_0-4)-2=7(n_0-2)$ . Let G be a flippable set of edges of G. For every face of  $G_0$ , at least one of the corresponding seven faces of G does not have an edge in G, as illustrated in Figure 10.

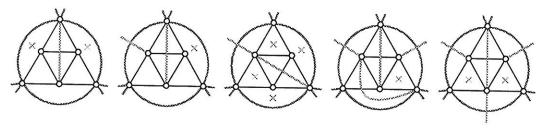


Figure 10: For any number of flips in the outer triangle, at least one internal face does not have an edge in S.

Thus at least  $2(n_0-2)=\frac{2}{7}(n-2)$  faces of G do not have an edge in S. Every face of G has at most one edge in S. Thus  $|S|\leq \frac{1}{2}(2(n-2)-\frac{2}{7}(n-2))=\frac{6}{7}(n-2)$ .

It remains to construct a flippable set of  $\frac{6}{7}(n-2)$  edges in G. For each face of  $G_0$ , add the edges shown in Figure 11 to a set S. Clearly S is flippable. In every face of  $G_0$ , exactly one of the corresponding seven faces of G does not have an edge in S, and the remaining six faces each have exactly one edge in S. By the above analysis,  $|S| = \frac{6}{7}(n-2)$ .

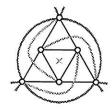


Figure 11: How to construct a flip set for G.

An obvious open problem is to close the gap between the lower bound of  $\frac{2}{3}(n-2)$  and the upper bound of  $\frac{6}{7}(n-2)$  in the above results.

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