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School of Public Policy and Administration and Department of Economics
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PhD. Economics	Johns Hopkins	1975
M.A. Economics	Johns Hopkins	1973
B.A. Economics & Mathematics	Carleton University	1971

EMPLOYMENT EXPERIENCE (with teaching duties):

2001 ---	Canada Research Chair Professor in Public Policy, Tier I, Multidisciplinary in Social Science, School of Public Policy and Administration and Department of Economics. <i>Renewed for 7 year terms, April 2015, and October 2008.</i>
2016 (April)	Visiting Professor, School of Public Finance and Taxation, Southwestern University of Finance and Economics, Chengdu, China
2010 (Oct-Nov)	Visiting Professor (and ICER Fellow), Department of Institutional Economics, University of Economics, Prague
2008 – 2009 (6 months)	Visiting Professor, Department of Public Policy and Public Choice, University of Eastern Piedmont, Alessandria, Italy.
2003 (Fall term)	Fulbright-Duke Visiting Chair, Duke University
2002 (April-May)	Special lecturer, Hebrew University of Jerusalem, Department of Political Science, Policy Studies Program
1999-2001	Short courses in taxation, Ministry of Finance, Vietnam-Canada Financial Management Project, Hanoi (one or two weeks in each of Aug. 1999, Nov. 1999, May 2000, July 2000, May 2001).
1999 ---	Cross appointment, Professor, Department of Economics and School of Public Policy and Administration, Carleton University
July 1997	Special course in macroeconomics for students from the Central Statistical Agency, Beijing. One week course at McGill University

1990 Fall Term	Special Lecturer in microeconomics, Local Government Program, Department of Political Science, University of Western Ontario
1990 Summer	Lecturer in microeconomics and cost-benefit analysis, Institute of Public Administration, People's University of China, Beijing
1989-90	Visiting Professor, Department of Economics, University of Western Ontario
1988 --	Professor, School of Public Administration
1982-83	Visiting Associate Professor, Graduate School of Industrial Administration, Carnegie-Mellon University
1981-88	Associate Professor, School of Public Administration
1978-81	Assistant Professor, School of Public Administration
1976-78	Assistant Professor, Department of Economics, Carleton University
1975-76	Research Economist, Economic Council of Canada

VISITING RESEARCH PROFESSORSHIPS and FELLOWSHIPS:

2016 (Jan-March)	Visiting Professor (Research), School of International and Public Policy, Hitotsubashi University, Tokyo
2012 (April-May)	Visiting Professor (Research), Condorcet Centre, University of Rennes I
2012 (February)	Visiting Professor (Research), National Institute of Public Finance and Policy, New Delhi
2015 (Sept-Oct)	Visiting Associate, Center for Economic Studies, University of Munich
2011 (May)	Visiting Associate, Center for Economic Studies, University of Munich
2006 (April)	Visiting Associate, Center for Economic Studies, University of Munich
2003 (July)	Visiting Associate, Center for Economic Studies, University of Munich
(2002 ---)	Research Associate, Centre for Economic Studies, University of Munich
2010 (May-June)	Visiting Scholar, International Center for Economic Research, Turin
2009 (May-June)	Visiting Scholar, International Center for Economic Research, Turin
2006 (May-June)	Visiting Scholar, International Center for Economic Research, Turin
2005 (May-July)	Visiting Scholar, International Center for Economic Research, Turin
2004 (Jan-June)	Visiting Professor (Research), University of California at Irvine, Center for the Study of Democracy and Department of Economics

1997 - 2000	Visiting Research Fellow, Statistics Canada, Ottawa
1996 Fall Term	Visiting Professor, Département de science économique et Centre de recherche et développement en économique, Université de Montréal
1996 (May-Aug)	Visiting Fellow, Director's Section, Research School of the Social Sciences, Australian National University
1991 (May12-19)	Special Research Visitor, Economics, Ben-Gurion University, Beersheva
1982 (April-July)	Paterson Centre for International Exchanges and the Canada Israel Foundation for Academic Exchanges, Haifa University

ACADEMIC AND PROFESSIONAL RESPONSIBILITIES:

1) TEACHING AND TRAINING

Teaching

Second Year (Economics): Macroeconomics, Statistical Inference

Third-Fourth Year (Economics): Microeconomics, Public Economics, Cost-Benefit

Masters (Public Policy): Microeconomics, Macroeconomics, Cost-Benefit Analysis, Tax Policy, Political Economy, Applied Econometrics

PhD (Economics): Public Economics, Political Economy, Applied Econometrics

PhD (Public Policy): Microeconomics, Microfoundations for the Study of Institutions

Short Courses: Taxation, Political Economy/Public Choice

Supervision, Research Visitors, and Training

Inaugural supervisor, PhD in Public Policy, 1990-95.

Post-doctoral fellows

Michele Giuranno, 2004-06. PhD Catania and Essex, now Assistant Prof., University of Salento, Lecce, Italy).

Cheng Gu, 2004-2006. PhD Dongbei, now School of Public Finance and Taxation, Dongbei University of Finance and Economics, Dalian, China.

PhD Students since 1992: Major Supervisor

Public Policy

Mark MacDonald, completed 1998;

Richard Levesque, completed 2010;

Ivan Taylor, completed 2011;

Silvia Garcia, completed 2016.

Economics

Kirk Collins, completed 2003 (Carleton-UOttawa joint PhD, Co-supervisor);

Haizhen Mou, completed 2009 (co-author);

Sophie Bernard completed 2011 (Carleton-UOttawa joint PhD, co-supervisor, co-author);
 Jérôme Archambault, Carleton-UOttawa joint PhD, Co-supervisor, current.
 James Splinter, Carleton, Co-Supervisor, current.

PhD Students since 1992: Member of Committee

Public Policy

Kevin Moore;
 Stephanie Paterson;
 Barbara Allen;
 Kevin Murphy;
 Alexandre Couture-Gagnon;
 Anteneh Belayneh, current.

Economics

Joshua Gogo;
 Andrew Garzoni;
 Samira Hazanzadeh;
 Jean-Baptiste Tondji

Visiting PhD students:

Viola Lucas, University of Hamburg, Fall 2013.
 Hunkar Gulkar, Marmara University, Istanbul, 2013-14.
 Ambar Zahara, JNU, New Delhi, Winter 2011.

Masters by thesis/research paper

Tow Soon Lim, Public Policy 1985 (co-supervisor); Gustav Goldman 1994, Sociology (member of committee).

MA and Honours directed studies.

Numerous
 Meghan Byars (Undergraduate Research Course, 2016)

Visiting Professors and Fellows:

Haizhen Mou, Associate Professor, University of Saskatchewan, Fall 2016.
 Bharatee Dash, Assistant Professor, National Institute of Public Finance and Policy, Delhi, Fall term 2014, Fall term 2017 (Shastri visitor).
 Pinaki Chakraborty, Professor of Public Finance, National Institute of Public Finance and Policy, Delhi, Summer 2011. *Shastri Visitor*
 Samia Costa, University of St. Gallen, June 2004
 Gebhard Kirchgaessner, University of St. Gallen, Summer 2002.

Overseas Training:

Ministry of Finance, Hanoi, various periods 1999-2001. With follow-up at Carleton.
 Renmin University, Beijing, Summer 1990. Two of my students subsequently graduated with MA's from Carleton (1992-94) and went on to further studies at McGill and Rand respectively: *Hongmei Fan, and Daochi Tong.*

2) STUDIES AND RESEARCH

Current Research Topics

The integration of public finance and collective choice. This longstanding research program combines the traditional concerns of public finance with the analysis of collective choice mechanisms, and explores the implications of the resulting frameworks for the positive and normative analysis of taxation, public expenditure and public policy generally.

Political competition in mature democracies: what is it, how do you measure it, and does it matter? This research is concerned with the meaning, measurement, and consequences for public policy of variation in the degree of political competition in mature democracies. Applications include elections to the parliament of Canada and their consequences over the history of the modern state from 1867, elections for the United States Senate from 1922 and, the measurement and consequences of competitiveness in elections at the state level in India.

Migration and public policy in Canada. Internal and international migration are important determinants of regional and national well-being. In this work I investigate the consequences of regional variation in the generosity of the unemployment insurance system and other public policies for interprovincial migration. I have also studied the role of Canada-U.S migration in the evolution of fiscal structure in Canada.

Publications - Books and monographs

Interregional Migration and Public Policy in Canada: An Empirical Study. Montreal: McGill-Queen's University Press. February 2012 (with Kathleen Day). 408 pages.
Winner of the Purvis Prize (\$10,000) from the Canadian Economics Association, June 2013.

Political Economy in Federal States: Selected Essays of Stanley L. Winer. Cheltenham U.K.: Edward Elgar Publishing. Twelve papers written between 1975 and 2000, of which three are previously unpublished. 2002.

Democratic Choice and Taxation: A Theoretical and Empirical Analysis. New York: Cambridge University Press, 330 pages, 1999 (with Walter Hettich). Paperback edition 2005. eBook 2009.

Internal Migration and Fiscal Structure: An Econometric Study of the Determinants of Inter-Provincial Migration in Canada. Ottawa: Economic Council of Canada, 1982, 98 pages (with Denis Gauthier).

Interprovincial Migration Data: A Supplement to "Internal Migration and Fiscal Structure", Economic Council of Canada, May 1982, 150 pages (with Denis Gauthier)].

Publications - Edited books and journals

Coercion and Social Welfare in Public Finance: Economic and Political Dimensions. Co-Editor with Jorge Martinez-Vazquez. Cambridge University Press. Hardcover and Kindle editions, May 2014. Paperback, October 2014. 356 pages. Based on a small workshop I co-organized with the purpose of producing this contribution to the Wicksellian tradition in

public finance.

Editor, *Symposium on Heterogeneity and Public Policy, Parts 1 and 2*. Special issue of *Public Finance and Management*, 9(2/3), Sept. 2009.

Political Economy and Public Finance: The Role of Political Economy in the Theory and Practice of Public Economics. Cheltenham U.K.: Edward Elgar Publishing 2002. E-book 2014. An edited volume based on plenary sessions I organized for the 57th IIPF Congress in 2001 (with co-editor Hirofumi Shibata).

Editor, *Special Issue on the Role of Political Economy in the Theory and Practice of Public Finance*. *International Tax and Public Finance* volume 9(4), Aug. 2002, pp. 325-521.

Knocking on the Back Door: Canadian Perspectives on the Political Economy of Freer Trade with the United States, Halifax: Institute for Research on Public Policy, 1987, 220 pages (co-editor with Allan Maslove).

Publications - Refereed journal articles

"Fiscal Incidence When Both Individual Welfare and Family Structure Matter: The Case of Subsidization of Home-care for the Elderly". *Public Finance Review*, 43(3), 2015, 373-401 (with Haizhen Mou).

"Wealth Transfer Taxation: An Empirical Investigation". *International Tax and Public Finance* 21(4), 2014, 720-767 (With Paola Profeta and Simona Scabrosetti).

"Explaining Variation in the Competitiveness of U.S. Senate Elections, 1922 – 2004." *Public Choice* 161, 2014, 471 - 497.(with Lawrence Kenny and Bernard Grofman).

"Democracy, Inequality and the Environment When Citizens Can Mitigate the Health Consequences of Pollution Privately or Act Collectively". *European Journal of Political Economy* 34, 2014, 142-156 (with Sophie Bernard and Louis Hotte).

"Do departures from democratic accountability compromise the stability of public finances?: Keynesianism, central banking, and minority governments in the Canadian system of party government, 1867-2009." *Constitutional Political Economy* 23(3), 2012, 213-243 (with Stephen Ferris and Bernard Grofman).

"Environmental Regulation and Trade Openness in the Presence of Private Mitigation". *Journal of Development Economics* 97, 2012, 46-57 (with Louis Hotte)

"Closing the 49th Parallel: An Unexplored Episode in Canadian Economic and Political History" *Canadian Public Policy* 37(3), 2011, 307-336 (with James Davies).
Runner up for Vanderkamp prize, 2012

"Consumption versus Income Taxation: Three Moments in the Political Economy of Fiscal Choice". *Public Finance and Management*, 9(3), Sept. 2009, 31 pages online (with George Warskett and Walter Hettich).

"Studying the Role of Political Competition in the Evolution of Government Size Over Long Horizons." *Public Choice* 137, Aug. 2008, 369-401 (with Stephen Ferris and SooBin Park).

"Searching for Keynesianism". *European Journal of Political Economy*, 24(2), June 2008, 294-316 (with Stephen Ferris).

"Is it Economics or Politics? Trending Economic Factors and the Structure of Congress in the Growth of Government, 1930 - 2002". *Public Choice* 113, 389-402, 2008. With data set at www.carleton.ca/~winers. (Major author with John Aldrich, Bernard Grofman and Michael Tofias).

"Just How Much Bigger is Government in Canada: A Comparison of the Size and Structure of the Public Sectors in Canada and the United States". *Canadian Public Policy*, 33(2), 173-206, June 2007. With an accompanying spreadsheet at www.carleton.ca/~winers (with Stephen Ferris).

Runner up for the John Vanderkamp prize, 2008.

"Internal Migration and Public Policy in Canada: An Empirical Study". *International Tax and Public Finance* 13, Sept. 2006, 535-564. (with Kathleen Day).

"Analyzing the Interdependence of Regulation and Taxation". *Public Finance Review* 34(4), 2006, 1-26 (with Walter Hettich)

"Tax Systems in the World: An Empirical Investigation into the Importance of Tax Bases, Collection Costs, and Political Regime". *International Tax and Public Finance* 13(2/3), 181-215, May 2006 (with Lawrence Kenny). **Reprinted** in: James Alm and Jorge Martinez-Vazquez (eds.). *Tax Reform in Developing Countries, Volume I*. Edward Elgar, 2015, 437-471.

"The Political Economy of Government Size". *European Journal of Political Economy* 21, Sept. 2005, 643-666 (with George Tridimas).

"Tinpots, Totalitarians (and Democrats): An Empirical Investigation of the Effects of Economic Growth on Civil Liberties and Political Rights". *Public Choice* 108, 2004, 289-323 (with Muhammed Islam).

"Political Influence, Economic Interests and Endogenous Tax Structure in a Computable Equilibrium Framework: With Application to the United States, 1973 and 1983". *Public Choice* 109(1), 2001, 66-99 (with Louis Hotte).

"The Complexity of Tax Structure in Competitive Political Systems", *International Tax and Public Finance* 5, 1998, 127-155 (with G. Warskett and W. Hettich).

"Decision Externalities, Economic Efficiency and Institutional Response" *Canadian Public Policy*, 21(3), 1995, 344-361 (with Walter Hettich). *Vietnamese version* available at www.carleton.ca/winer

"Economic Efficiency, Political Institutions and Policy Analysis", *Kyklos* 46 (1), 1993, 3-25 (with W. Hettich).

"Dispute Resolution and Self-Selection: An Empirical Examination of the Federal Public Sector, 1971-1982", *Industrial Relations/Relations Industrielles* 48(3), 1993,146-162 (with Gene Swimmer).

"Debt and Tariffs: An Empirical Investigation of the Evolution of Revenue Systems," *Journal of Public Economics* 45, 1991, 215-242 (with Walter Hettich).

"Economic and Political Foundations of Tax Structure," *American Economic Review* 78(4), 1988, 701-712 (with Walter Hettich). **Reprinted** in: James Alm (ed.). *The Economics of Taxation, Volume I*. Edward Elgar, 2011, 325-338. **Reprinted** in: James Alm and Jorge Martinez-Vazquez (eds.). *Tax Reform in Developing Countries, Volume II*. Edward Elgar, 2015, 608-619.

"Federalism, Special Interests and the Exchange of Policies for Political Resources," *European Journal of Political Economy* 3, Special Issue 1987, 33-54 (with Walter Hettich).

"Money and Politics in a Small Open Economy," *Public Choice*, 51, 1986, pp. 221-239.

"The Role of Exchange Rate Flexibility in the International Transmission of Inflation in Long and Shorter Runs: Canada 1953 to 1981," *Canadian Journal of Economics* 19, February 1986, pp. 62-86.

"Vertical Imbalance in the Fiscal Systems of Federal States," *Canadian Journal of Economics*, 19, November 1986, 745-765 (with Walter Hettich).

"Blueprints and Pathways: The Shifting Foundations of Tax Reform," *The National Tax Journal*, 38, December 1985, 423-445 (with Walter Hettich). **Reprinted** in P. White (ed.), *The International Library of Essays in Law and Legal Theory, Tax Law Volume II*, Dartmouth Publishing Co. 1995, 3-26.

"A Positive Model of Tax Structure," *Journal of Public Economics*, 24/1, 1984, 64-87 (with Walter Hettich). **Reprinted** in Wallace Oates (ed.) , *The Economics of Federalism and Local Finance*. The International Library of Critical Writings in Economics, Edward Elgar Publishers, 1998.

"Analysis of Constitutional Change: Comment on the Papers by Thomas Courchene and Albert Breton," *Public Choice, Carnegie Papers on Political Economy*, 44, 1984, 273-278. Refereed by Editorial Board.

"Some Evidence on the Effect of the Separation of Spending and Taxing Decisions," *Journal of Political Economy*, 9, February 1983, 126-140. **Reprinted** in *Problemi di Amministrazione Pubblica*, 9, Foromez, Naples, 1984, 93-118.

"Optimal Fiscal Illusion and the Size of Government," *Public Choice*, 35, 1980, 607-622 (with E.G. West). **Reprinted as** "La ilusión fiscal óptima y tamaño del sector público". *Hacienda Pública Española*. 1988. 276-286

"Will Federal Centralization Help the Poor?" *Canadian Public Policy*, Autumn 1980, 662-667 (with E.G. West).

"The Individual, Political Tension, and Canada's Quest For a New Constitution." *Canadian Public Policy*, Winter 1980, 3-15 (with E.G. West).

"Short-Run Monetary-Fiscal Influences in a Federal State: With Application to the Post-War Canadian Economy 1947 to 1973," *Public Finance Quarterly*, 10, 1979, 395-424.

"Stabilization and Non-Federal Behaviour in an Open Federal State: An Econometric Study of the Fixed Exchange Rate, Canadian Case," *Empirical Economics*, 2, 1977, 195-211 (with M. Sheikh).

"Faltering Federalism and French Canadians," *Journal of Canadian Studies*, 1977, 44-52 (with N. H. Lithwick). Refereed by editorial board.

Publications - Invited Handbook and Encyclopaedia Articles (refereed)

"The Political Economy of Taxation: Power, Structure, and Redistribution". In R. Congleton, B. Grofman and S. Voigt (eds.) *The Oxford Handbook of Public Choice*. Oxford University Press. Forthcoming 2018.

Interregional Migration in Canada. *Global Encyclopedia of Public Administration, Public Policy, and Governance*, Editor-in-Chief Ali Farazmand. Section Editors Lon Felker and Paul Trogen. Springer. 16 pages. 2017. DOI 10.1007/978-3-319-31816-5_2858-1 (with Kathleen Day).

"The Political Economy of Taxation." In *Oxford Bibliographies in Political Science*. Ed. Rick Valeyly. New York: Oxford University Press, January 2014. (with Paola Profeta and Walter Hettich). 45 pages in manuscript form, about 120 entries, annotated with introductions. (See <http://www.oxfordbibliographies.com/obo/page/political-science>).

DOI: 10.1093/OBO/9780199756223-0083

"Structure and Coherence in the Political Economy of Public Finance". In B. Weingast and D. Wittman (eds.). *The Oxford Handbook of Political Economy*. Oxford University Press, 441-463, 2006 (with Walter Hettich).

"The Political Economy of Taxation: Positive and Normative Analysis When Collective Choice Matters". In C. Rowley and F. Schneider (eds.). *The Encyclopaedia of Public Choice*, Kluwer Academic, 2004, 173-190 (with Walter Hettich). (The first encyclopaedia of public choice). Revised version titled 'Taxation'. **Reprinted** in C. Rowley and F. Schneider (eds.). *Readings in Public Choice and Constitutional Political Economy*, Springer 2008, 391-423.

"Rules, Politics and the Normative Analysis of Taxation". in Richard Wagner and Jurgen Backhaus (eds.). *Handbook of Public Finance*. Kluwer Academic Publishers 2004, 109-138 (with Walter Hettich).

"The Political Economy of Taxation", in D. Mueller (ed.), *Perspectives on Public Choice: A Handbook*, Cambridge University Press, 1997, 481-505 (with Walter Hettich). **Japanese version** available at www.carleton.ca/winer

Publications - Papers in refereed edited volumes

Ferris, J. Stephen, Stanley L. Winer and Bernard Grofman (2016). "The Duverger-Demsetz Perspective on Electoral Competitiveness and Fragmentation: With Application to the Canadian Parliamentary System, 1867 - 2011". In. M. Gallego and N. Schofield (eds.) *The Political Economy of Social Choices*. Springer, 93-122. www.springer.com/gp/book/9783319401164

Coercion, Welfare and Public Finance. Chapter 1 in J. Martinez-Vazquez and S.L. Winer (eds.). *Coercion and Social Welfare in Public Finance: Economic and Political Dimensions*. Cambridge University Press. May 2014, 1- 8. (with J. Martinez-Vazquez).

<http://www.cambridge.org/ca/academic/subjects/economics/public-economics-and-public-policy/coercion-and-social-welfare-public-finance-economic-and-political-perspectives?format=PB>

"Social Welfare and Coercion in Public Finance". Chapter 7 in J. Martinez-Vazquez and S.L. Winer (eds.). *Coercion and Social Welfare in Public Finance: Economic and Political Dimensions*. Cambridge University Press. May 2014, 160-194. (with Walter Hettich and George Tridimas). <http://www.cambridge.org/ca/academic/subjects/economics/public-economics-and-public-policy/coercion-and-social-welfare-public-finance-economic-and-political-perspectives?format=PB>

"What do we know about the relationship between regionalized aspects of the unemployment insurance system and internal migration in Canada?" In Keith Banting and Jon Medow, eds., *Making EI Work: Research from the Mowat Centre Employment Insurance Task Force*. Toronto and Montreal: Mowat Centre for Policy Innovation, University of Toronto and McGill-Queen's University Press, 2012.

https://mowatcentre.ca/wp-content/uploads/publications/31_what_do_we_know.pdf

"Political Regimes, Institutions and Tax Systems". **In English** in E. Albi and J. Martinez-Vazquez (eds.), *The Elgar Guide to Tax Systems*, Edward Elgar 2011, 376-413 (https://www.elgar.com/shop/the-elgar-guide-to-tax-systems?__website=uk); **In Italian** in F. Padovano and A. Petretto (eds.), *Public Choice e Political Economy*. Franco Angelli, 2010, 25-62. **In Spanish** in *Papeles de Economia Espanola* 125/126, 2010. Claves Actuales de la Fiscalidad Futuro, 353-377. (with Larry Kenny and Walter Hettich).

"Explaining Tax Reform". In Jim Alm and Mark Ryder (eds.). *The Challenges of Tax Reform in a Global Economy*, Springer, 2006, 347-361. (with Walter Hettich).

"Public Choices and Fiscal Means: Analyzing Taxes as Collective Outcomes". In Richard Wagner (ed.). *Politics, Taxation, and the Rule of Law: The Power to Tax in Constitutional Perspective*. Kluwer Academic, 2002, 101-21 (with Walter Hettich).

"On the Reassignment of Fiscal Powers in a Federal State". In G. Galeotti, P. Salmon and R. Wintrobe (eds.) *Competition and Structure: The Political Economy of Collective Decisions: Essays in Honour of Albert Breton*. New York: Cambridge University Press, 2000, 150-173.

"Internal Migration and Public Policy: An Introduction to the Issues and a Review of Empirical Research on Canada". In A. Maslove (ed.), *Issues in the Taxation of Individuals*, University of Toronto Press, 1994, 3-61 (with Kathleen Day)

"Coercive Redistribution and the Franchise: A Preliminary Investigation Using Computable General Equilibrium Modelling," in A. Breton, G. Galeotti, P. Salmon and R. Wintrobe (eds.),

Preferences and Democracy, Kluwer Academic Publishers, 1993, 351-376 (with Tom Rutherford).

"Checks and Balances and the Structure of Taxation in the United States & Canada," in A. Breton, G. Galeotti, P. Salmon and R. Wintrobe (eds.), *The Competitive State*, Kluwer Academic Publishers, 1991, 39-56 (with Walter Hettich).

"Tax Expenditures and the Democratic Process", in Neil Bruce (ed.), *Tax Expenditures and Government Policy*, John Deutsch Institute, Queen's University, 1988, 379-406 (with Walter Hettich).

Candide Model 1.2M: A Description for Model Users, and *Candide Model 1.2M, Lists of Variables and Equations*, Ottawa, Economic Council of Canada, 1976, (with other members of the Candide Group). Specific responsibility for government budget restraints.

Publications - Other invited journal articles and chapters

"In Defense of Majoritarianism", *Carleton Economic Papers* 17-06, March 2017. Revised version forthcoming in a volume in honor of Robert Young, edited by R. Wintrobe, A. Blais and others, 2018.

"Introduction to the Special Issue on Heterogeneity and Public Policy", *Public Finance and Management*. 9(2/3), 2009.

"Vertical Imbalance in the Federation". In A. Maslove (ed.) *How Ottawa Spends, 2008 – 2009*. McGill-Queen's University Press 2009, 15-32. (with Walter Hettich). Revised version, also **in Chinese** at www.carleton.ca/winer.

"Political Economy and Public Finance: A Brief Introduction" in *Political Economy and Public Finance: The Role of Political Economy in the Theory and Practice of Public Economics*, Edward Elgar 2002, 1-12. (with Hirofumi Shibata)

"What Is Missed If We Leave Out Collective Choice In the Analysis of Taxation." Invited Symposium Paper, *National Tax Journal*, 51(2), June 1998, 373-390. **Reprinted** in Joel Slemrod (ed.). *Tax Policy in the Real World*. New York: Cambridge University Press, 2000.

"Information, Co-ordination and Tax Policy Making", in R. Bird and T. Wilson (eds.), *Rationality in Public Policy: Retrospect and Prospect; A Tribute to Douglas Hartle*. Toronto: Canadian Tax Foundation. 1999, 19-42 (with Walter Hettich).

"Analysing the Collective Power to Tax: Models and Policy Issues". In Rick Krever (ed.), *Tax Conversations*, Kluwer Law International. 1997, 49-86 (with Walter Hettich). **Reprinted** in Japanese (first 15 pages) in *Mitagakki Zasshi*, Keio University, June 1998.

"Fiscal-Federalism in Canada and Australia: A Brief Comparison of Constitutional Frameworks, Structural Features of Existing Fiscal Systems and Fiscal Institutions", in Paul Boothe (ed.), *Reforming Fiscal Federalism for Global Competition*, University of Alberta press, 1996, 45-86 (with Allan Maslove).

"Taxation and Federalism in a Changing World", in R. Bird and J. Mintz (eds.), *Taxation to 2000 and Beyond*, Toronto: Canadian Tax Foundation, 1992, 343-369.

"Basic Issues in the Positive Political Economy of Income Taxation", in S. Cnossen and R. Bird (eds.), *The Personal Income Tax: Phoenix from the Ashes?*, Elsevier Science, North-Holland, 1990, 265-289 (with Walter Hettich).

"The Structure of the Sieve: Political Economy in the Explanation of Tax Systems and Tax Reform," *Osgoode Hall Law Journal*, 26 No. 2, Special Issue, Summer 1988, published 1989, 409-422 (with Walter Hettich).

Publications - Other journal articles and chapters

Reflections on the Role of Optimal Design in the Tax Policy Process. In F. Gorbet and A. Sharpe (eds.). In *New Directions for Intelligent Government in Canada: Papers in honour of Ian Stewart*. Ottawa: Centre for the Study of Living Standards. October 2011, 205-210.
<http://www.csls.ca/festschrift/stewartfestschrift.pdf>

"The Individual Income Tax in the World". *National Tax Association, Proceedings*. 2002 (with Larry Kenny).

"The Report of the Technical Committee on Business Taxation (the Mintz report): A Panel Discussion". Rapporteur. *Canadian Tax Journal* 46(6), 1998, 1245-1277.

"Obituary: W. Irwin Gillespie: Gentle Scholar". *Canadian Journal of Economics* 1998 (with D. Bernhardt and T. K. Rymes).

"Competition, Decision Externalities and Efficiency in the Public Sector", in Bryne Purchase (ed.). *Policy Making and Competitiveness*. Policy Studies, Queens' University 1994, 157-166.

"Dispute Resolution and Self-Selection in the Public Service", in J. Boivin (ed.), *Some Aspects of International Industrial Relations*, Université Laval, 1989, 189-200 (with G. Swimmer).

"The Social Cost of Taxation and Its Policy Implications," in A. Maslove (ed.), *How Ottawa Spends, 1985*, Methuen, 1985, 162-181.

"The Department of National Health and Welfare: Expenditure Transfers to Individuals and Provinces," in G. B. Doern (ed.), *Spending Tax Dollars*, School of Public Administration, Carleton University, 1980, 119-181.

Publications - Government reports and critical comments

"Internal Migration and Public Policy in Canada: An Empirical Study". Working Paper W-01-3E. Human Resources and Development Canada, Government of Canada. July 2001. Published 2003. 294 pages (with Kathleen Day). Refereed.

"Internal Migration and Public Policy in Canada". *Applied Research Bulletin*. Human Resources and Development Canada, Government of Canada (with Kathleen Day).

"The Nature, Causes and Consequences of Interprovincial and Intraprovincial Migration for Newfoundland and Labrador: A Discussion of Research Alternatives". For the Province of Newfoundland and Labrador, September 1998 (with Dane Rowlands).

"Internal Migration and Public Policy in Canada: The Economic Issues". Briefing Paper for Human Resources and Development Canada, September 1996.

"Approaches to Study of the Public Sector". Paper commissioned by The Economic Council of Canada, 1994 (with Allan Maslove).

"Broader Issues Concerning the Constitutional Assignment of Spending Responsibilities" In Paul Boothe (ed.). *Alberta and The Economics of Constitutional Change*, Edmonton: Canada West Foundation for Economic Research 1992, 58-63.

"An Introduction to Longer Run Issues in the Design and Conduct of Fiscal Policy in Canada". Paper prepared for a World Bank sponsored conference on Long Run Fiscal Policy, Shenzhen China, August 1991.

"Choosing an Appropriate Time Horizon for Taxation: A Comment on Goode and Vickrey" in L. Eden (ed.), *Papers in Honour of Carl Shoup*, Duke University Press, 1991, 168-171.

"Approaches to a Study of the Public Sector: Process, Institutions and Outcomes", Economic Council of Canada Discussion Paper, November 1990 (with Allan Maslove).

"Business-Related Non-Immigrant Migration to the U.S. from Canada: A Preliminary Investigation Using Publicly Available U.S. Data." Research report for the Association of Universities and Colleges of Canada, 1986 (with Monica Boyd).

"Consequences of the Interdependency of Government Budget Restraints," Economic Council of Canada Discussion Paper No. 49, 1976.

"Simulation of the Macroeconomic Effects of an Air Pollution Abatement Program with the use of the CANDIDE Model: A Feasibility Study". Research report for the Dept. of the Environment, 1980 (with Ronald Bodkin).

"Financing of Macropolicy in Open Federal States: An Econometric Investigation of the Canadian Case Using Candide 1.2M," Economic Council of Canada Discussion Paper No. 67, 1976, (with Munir Sheikh).

Book Reviews

- Peter Aucoin, *The New Public Management: Canada in Comparative Perspective*. Institute for Research on Public Policy, 1995. *Canadian Public Policy* 23(1), 1997, 117-118.
- Sven Steinmo, *Taxation and Democracy*, Yale University Press, 1993. *National Tax Journal* 57(4), December 1994, 883-887.
- D. Bös, M. Rose and C. Seidl (eds.), *Welfare and Efficiency in Public Economics*, Springer Verlag, 1988. *Canadian Journal of Economics* 22(13), August 1989, 703-706.
- "Challenging Issues in the Study of Fiscally-Induced Migration," *Canadian Journal of*

Regional Science 9(3), Autumn 1986, 382-387.

- Premchaud, *Government Budgetary and Expenditure Controls: Theory and Practice*, International Monetary Fund, 1983. *Journal of Money, Credit and Banking*, May 1983.
- Dan Usher, *The Economic Prerequisite to Democracy*, Oxford: Basil Blackwell, 1981. *Canadian Public Administration* 26, Spring 1983.
- Roger S. Smith, *Tax Expenditures: An Examination of Tax Incentives and Tax Preferences in the Canadian Federal Income Tax System*, Toronto, Canadian Tax Foundation, 1979. *Canadian Public Administration* 23, Fall 1980.
- Wallace Oates (ed). *Financing the New Federalism*. Johns Hopkins University Press. *Canadian Journal of Economics* 11(4), 1977, 721-724.

Current Manuscripts, Working Papers and Data Sets

"Measuring Electoral Competitiveness, with application to the Indian states." (with Bharatee Dash and Stephen Ferris). Presented at the European Public Choice meetings. Rome, April 2018.

"Franchise extension and redistribution: evidence from the United Kingdom 1820-1913." (with Toke Aidt and Peng Zhang). Presented at the European Public Choice meetings. Rome, April 2018.

"On the definition of coercion". (with George Tridimas.). In preparation for a volume in honor of James Buchanan. R. Wagner (ed.). New Palgrave, 2018

"The Privatness of Public Expenditure: with application to the Indian states". Unpublished working paper, Carleton University and NIPFP, September 2016, Under revision (with Pinaki Chakraborty, Bharatee Dash and J. Stephen Ferris.). Presented at Hitosubashi University February 2016, Osaka University and GRIPS Tokyo, March 2016, the National Institute of Public Finance and Policy, Delhi, January 2016, the Public Choice meetings, Charleston, March 2018, and the CPEG-UK Public Economics Workshop, McMaster University, April 2018.

"A Dynamic Model of Political Party Equilibrium: The Evolution of ENP in Canada, 1870 - 2011." (with J. Stephen Ferris and Derek Olmstead). Under revision. February 2018. A part of this paper to appear in a volume edited by B. Grofman and others, 2018.

"Does Political Competition Affect Fiscal Structure? Canada, 1870-2015" (with Stephen Ferris). Carleton Working Paper, November 2, 2017. To be present at the CEA meetings, Montreal, June 2018.

"Measuring Electoral Competitiveness: The Parliamentary System of Canada, 1867 - 2011." Unpublished working paper www.carleton.ca/winer. Presented at the Public Economic Theory Conference, Seattle, July 2014, the University of Montreal, October 2014, and U.C. Irvine, February 2015. (With Stephen Ferris and Bernard Grofman).

"What Happened to the Canada-US Brain Drain of the 1990s?" Unpublished working paper, 2013. (with James Davies and Richard Mueller).

"Canadian electoral data set, 1867 – 2010". A complete record of all federal elections from 1867 - 2011 at the constituency level. With Stephen Ferris (and a host of PhD students). To be released after first publication.

Indian states electoral data set, 1951 – 2010". A complete record of all Indian state elections from 1951 at the constituency level. (With Stephen Ferris and Bharatee Dash, National Institute of Public Finance and Policy, New Delhi). To be released after first publication.

Research Grants and Contracts

Kanta Marwah Research Grant in Peace and Security. Canada-India Center for Excellence, Carleton University, 2014/15. With Stephen Ferris. \$10,000

"What is the Quality of Governance Across Indian States, and Does it Matter?. SSHRCC (economics), with Stephen Ferris. 2013-2016. \$73,852. This was the only economics grant to senior researchers in the February 2013 IDG grant competition.

"What do we know about the relationship between regionalized aspects of the unemployment insurance system and internal migration in Canada?" Mowat Center Task Force on Employment Insurance. University of Toronto. October 2011. \$10,000.

"Political Competition: Empirical Studies of Canada and the United States". With Bernard Grofman, Stephen Ferris and Lawrence Kenny. SSHRCC (**political science**). 2007-2011. \$87,290.

"The Environment, Trade, Democracy Nexus", SSHRCC (**economics**), with Louis Hotte. 2007-2011, \$44,000.

Fulbright Canada Visiting Chair, Duke University, Fall term, 2003. \$25,000 (U.S.).

"The Influence of Public Policy on Interprovincial Migration". Human Resources Development Canada, with the assistance of Statistics Canada. September 1997-August 2000 (with K. Day), \$56,000.

"Searching for Keynes". Carleton University GR6, 1998 (with S. Ferris), \$5000

"Taxation By Democratic Government", SSHRCC, 1991-1995 (with Walter Hettich), \$31,750.

Grant For International Representation, SSHRCC, 1993, \$2,000.

Consultant for report 'Revised Approach to the Evaluation of Tax Measures at the Department of Finance', Office of the Auditor General of Canada, 1993.

Participant in 'Tax Experts Questionnaire', Office of the Auditor General of Canada, 1993.

"Internal Migration and Public Policy" Paper commissioned by the Ontario Fair Tax Commission, 1992 (with K. Day), \$9500.

"Economic Efficiency and Decision Externalities". Paper commissioned by the Queen's-

University of Ottawa Project on Government and Competitiveness, 1992 (with Walter Hettich), \$10,000

"Taxation and Federalism". Paper commissioned for the conference on 'Taxation to 2000 and Beyond'. Canadian Tax Foundation, 1991, \$5,000.

"Tax Expenditures and the Democratic Process" Contract research for the Department of Finance, 1988, (with W. Hettich).

"Taxes, Debt and the Size of Government", SSHRCC, 1988-89, (with W. Hettich), \$10,650.

"Taxation by Democratic Government", Carleton University, GR-6, 1987, \$2,000.

Conference grant, Canada-U.S. Free Trade, SSHRCC, 1986 (with A. Maslove), \$5,700.

"The Positive Theory of Tax Structure", SSHRCC, 1985-87, (with W. Hettich), \$13,178.

For International Collaboration, SSHRCC, 1985, \$1,220.

For study of tax structure, Carleton University, GR-6, 1984, \$2,200.

"Alternative Approaches to Tax Reform". Research contract, Economic Council of Canada, 1984.

"The Influence of Intergovernmental Grants in Canada", Carleton University, GSRO, 1983, \$1,063.

"Models of Fiscal Structure", SSHRCC, 1981-83, (with W. Hettich and W. I. Gillespie), \$9,713.

"Testing Positive Theories of Fiscal Structure", Carleton University, GR-6, 1981.

"The Relationship Between Fiscal Structure and Internal Migration". Contract research for the Economic Council of Canada, 1981-82.

"Tests of Alternative Theories of the Money Supply Process", Carleton University, GR-6, 1979-80, (with E. U. Choudhri).

"An Econometric Model of the Effects of Separating Spending and Taxing", Carleton University, GR-6, 1978-79, \$1,800.

Development of an econometric model for teaching purposes, Ontario Universities Program for Instructional Development, 1977-78, \$3,200.

3) AWARDS:

Purvis Memorial Prize for best work on Canadian public policy, Canadian Economics Association, June 2013. (\$10,000).

Shastri-Canadian Lecturer in India, January-February, 2013.

Aid to Scholarly Publications publication award for *Interregional Migration and Public Policy in Canada*, 2010. (\$8,000).

Canada Research Chair, Tier I, Multidisciplinary in Social Science, July 2001. Renewed October 2008 and May 2015 for seven year terms.

Fulbright-Duke University Visiting Chair, Duke University, Fall 2003. (\$35,000).

Research Achievement Award, Carleton University, 1998-1999. (One year release from teaching).

Scholarly Achievement Award, Carleton University, 1986.

Scholarly Achievement Award, Carleton University, 1985.

Social Sciences and Humanities Research Council of Canada Leave Fellowship, 1982-83.

Merit Award, Carleton University, 1981.

Canada Council Doctoral Fellowship, 1972-75.

John Hopkins Fellowships, 1971-75.

Carleton University Entrance, Stitt and Linch Scholarships 1966, 1967 and 1969 respectively.

4) SELECTED PROFESSION-RELATED ACTIVITIES:

Editorial positions:

Chair, Editorial Board, *Carleton Library Series*, published in collaboration with McGill-Queens University Press, 2009-10 and 2012 to date. Member of Board since 2000.

Scientific Committee, *Journal of Public Finance and Public Choice*, 2018--

Editorial Board, *Public Finance Review*, 2003 to 2017.

Editorial Board, *Canadian Journal of Economics*, 1986-1989.

Selected plenary and other special lectures:

John F. Graham Memorial Lecture, Atlantic Canadian Economics Society, Memorial University, October 2013.

Shastri Canadian Lecturer in India, January-February 2013. Eight lectures at various universities and institutes, Delhi, Bangalore, Mysore and Mumbai.

Three lectures on 'Explorations in Interregional and International Migration. Center for Economic Studies, University of Munich. May 2011.

"Vertical Balance in a Federal System: A Political Economy Perspective". Invited lecture, Seminar on Sub-central Public Finance. Dongbei University of Finance and Economics, Dalian China, August 2010.

"Internal Migration and Public Policy in Canada". Invited keynote lecture, Pearl Conference, Turin Italy, May 2010.

"Political Regimes, Institutions and Tax Systems". Invited plenary speaker, SIEP (Society of Italian Public Finance Economists), Pavia, September 2009. Also presented at the seminar on 'Tax Systems Whence and Wither', Malaga Spain, August 2009.

Two invited plenary lectures based on my current research, 38th Public Finance International Annual Meeting of the Argentinean public finance association, Cordoba, Argentina. September 2005.

"Structure and Coherence in the Political Economy of Public Finance". Invited Delta Marsh workshop lecture, Dept. of Economics, University of Manitoba, 2004.

"The Political Economy of Taxation". Invited Greer Lecture, University of Tennessee. October 2003.

"Rules, Politics and the Normative Analysis of Taxation". Invited plenary lecture for the European Public Choice Society, Belgirate, Italy. April 2002.

Other professional activities:

Member, Polanyi Award Committee, Council of Ontario Universities, 2009 – 2011.

Chair, Riker Award Committee 2006, American Political Science Association (to award prize for best book of the year in political economy).

Research Associate, CESifo Network, 2002 --- .

Member of the Board of Management, European Public Choice Society, 2004-2007. and member of scientific committee (Jena 2008, Taormina, 2013).

Elected Executive Vice-president, International Institute of Public Finance, 2002-2005, and member of scientific committee (Paphos, 2006; Beijing 2013).

Chair of scientific committee and principal organizer, 57th Congress of the International Institute of Public Finance, Linz, Austria, August 27-30, 2001. The topic of the congress is: the role of political economy in the theory and practice of public finance. A conference of more than 200 papers, and 10 plenary speakers.

Member of Canada Research Chairs College of Reviewers, 2001 --- .

Member, Panel of Experts on Demographic Change for Newfoundland Department of Finance, January 2001.

Co-organizer of the Canadian Public Economics Study Group (May 1995 and May 1998).
Elected member of the Executive Council of the Canadian Economics Association, 1995-1998.

Member of Public Finance Advisory Committee for Ontario Economic Council, 1981-1983.
Participant, Third Legal Institute for Economists, University of Miami Law and Economics Centre, 1978.

5) SELECTED ACADEMIC AND ADMINISTRATIVE RESPONSIBILITIES:

Member, Faculty of Public Affairs Research Committee, 2005 ---.

Co-coordinator of Seminar on Economics, Politics and Public Policy, Department of Economics (Carleton and the University of Ottawa), 2003 -- .

Co-coordinator of General Research seminar, School of Public Policy, various years.

Administrator of Gillespie-West fellowship, 2002 - 2009.

Member of Executive Board, Center on Values and Ethics, Carleton University, 2004 - 2008.

Member, Centre for Representation and Elections, Department of Political Science, Carleton University, 2000 – 2005.

Inaugural Supervisor, Doctoral Program in Public Policy, School of Public Administration, 1991-1996. This was the first program of its kind in Canada.

Carleton representative to the Humanities and Social Science Federation of Canada, 1997-2003.