

February 3, 2022

**Stanley L. Winer**

*Canada Research Chair Professor in Public Policy Emeritus  
School of Public Policy and Administration and Department of Economics  
Carleton University, Ottawa,  
and CESifo, Munich*

Email: [stanley.winer@carleton.ca](mailto:stanley.winer@carleton.ca)

Web: [www.carleton.ca/winer](http://www.carleton.ca/winer)

Authorpage: [www.amazon.com/author/stanleywiner](http://www.amazon.com/author/stanleywiner)

PhD. Economics	Johns Hopkins	1975
M.A. Economics	Johns Hopkins	1973
B.A. (Hons.) Economics&Mathematics	Carleton University	1971

**EMPLOYMENT EXPERIENCE (with teaching duties):**

2021 (Jan) --	Canada Research Chair Professor in Public Policy Emeritus
2001(May)-2020(Dec)	Canada Research Chair Professor in Public Policy, Tier I, Multidisciplinary in Social Science, School of Public Policy and Administration and Department of Economics. <i>Renewed for 7-year terms, April 2015, and October 2008</i>
2016 (April)	Visiting Professor, School of Public Finance and Taxation, Southwestern University of Finance and Economics, Chengdu
2010 (Oct-Nov)	Visiting Professor (and ICER Fellow), Department of Institutional Economics, University of Economics, Prague
2008 – 2009 (6 months)	Visiting Professor, Department of Public Policy and Public Choice, University of Eastern Piedmont, Alessandria
2003 (Fall term)	Fulbright-Duke Visiting Chair, Duke University
2002 (April-May)	Special lecturer, Hebrew University of Jerusalem, Department of Political Science, Policy Studies Program
1999-2001	Short courses in taxation, Ministry of Finance, Vietnam-Canada Financial Management Project, Hanoi (one or two weeks in each of Aug. 1999, Nov. 1999, May 2000, July 2000, May 2001)
1999 ---	Cross appointment, Professor, Department of Economics and School of Public Policy and Administration, Carleton University

July 1997	Special course in macroeconomics for students from the Central Statistical Agency, Beijing. One-week course at McGill University
1990 Fall Term	Special Lecturer in microeconomics, Local Government Program, Department of Political Science, University of Western Ontario
1990 Summer	Lecturer in microeconomics and cost-benefit analysis, Institute of Public Administration, People's University of China, Beijing
1989-90	Visiting Professor, Department of Economics, University of Western Ontario
1988 --	Professor, School of Public Administration
1982-83	Visiting Associate Professor, Graduate School of Industrial Administration, Carnegie-Mellon University
1981-88	Associate Professor, School of Public Administration
1978-81	Assistant Professor, School of Public Administration
1976-78	Assistant Professor, Department of Economics, Carleton University
1975-76	Research Economist, Economic Council of Canada

#### **VISITING RESEARCH PROFESSORSHIPS and FELLOWSHIPS:**

2018 (Sept-Oct)	Visiting Associate, Center for Economic Studies, Ludwig Maximilian University, Munich
2015 (Sept-Oct)	Visiting Associate, Center for Economic Studies, Ludwig Maximilian University, Munich
2011 (May)	Visiting Associate, Center for Economic Studies, Ludwig Maximilian University, Munich
2006 (April)	Visiting Associate, Center for Economic Studies, Ludwig Maximilian University, Munich
2003 (July)	Visiting Associate, Center for Economic Studies, Ludwig Maximilian University, Munich
(2002 ---)	Research Associate, Centre for Economic Studies, Ludwig Maximilian University, Munich
2016 (Jan-March)	Visiting Professor (Research), School of International and Public Policy, Hitotsubashi University, Tokyo
2012 (April-May)	Visiting Professor (Research), Condorcet Centre, University of Rennes I
2012 (February)	Visiting Professor (Research), National Institute of Public Finance and Policy, New Delhi

2010 (May-June)	Visiting Scholar, International Center for Economic Research, Turin
2009 (May-June)	Visiting Scholar, International Center for Economic Research, Turin
2006 (May-June)	Visiting Scholar, International Center for Economic Research, Turin
2005 (May-July)	Visiting Scholar, International Center for Economic Research, Turin
2004 (Jan-June)	Visiting Professor (Research), University of California at Irvine, Center for the Study of Democracy and Department of Economics
1997 - 2000	Visiting Research Fellow, Statistics Canada, Ottawa
1996 Fall Term	Visiting Professor, Département de science économique et Centre de recherche et développement en économie, Université de Montréal
1996 (May-Aug)	Visiting Fellow, Director's Section, Research School of the Social Sciences, Australian National University
1991 (May12-19)	Special Research Visitor, Economics, Ben-Gurion University, Beersheva
1982 (April-July)	Paterson Centre for International Exchanges and the Canada Israel Foundation for Academic Exchanges, Haifa University.

## ACADEMIC AND PROFESSIONAL RESPONSIBILITIES:

### 1) TEACHING AND TRAINING

#### Teaching

*Second Year (Economics):* Macroeconomics, Statistical Inference

*Third-Fourth Year (Economics):* Microeconomics, Public Economics, Benefit-Cost Analysis

*Masters (Public Policy):* Microeconomics, Macroeconomics, Benefit-Cost Analysis, Tax Policy, Political Economy, Applied Econometrics

*PhD (Economics):* Public Economics, Political Economy, Econometrics

*PhD (Public Policy):* Microeconomics, Microfoundations for the Study of Institutions

#### Supervision, Research Visitors, and Training

Inaugural supervisor, PhD in Public Policy, 1990-1996. The first such program in Canada.

#### *Post-doctoral fellows*

Michele Giuranno, 2004-06. PhD Catania and Essex, now at the University of Salento, Lecce, Italy.

Cheng Gu, 2004-2006. PhD Dongbei, now at the School of Public Finance and Taxation, Dongbei University of Finance and Economics, Dalian, China.

#### *PhD Students since 1992: Major Supervisor*

##### *Public Policy*

Mark MacDonald, completed 1998.

Richard Levesque, completed 2010.

Ivan Taylor, completed 2011.  
 Silvia Garcia, completed 2016  
 Alex MacLellan, Co-supervisor, current.

*Economics*

Kirk Collins completed 2003. (Carleton-UOttawa joint PhD, co-supervisor).  
 Haizhen Mou, completed 2009. (Carleton, co-author).  
 Sophie Bernard completed 2011. (Carleton-UOttawa joint PhD, co-supervisor, co-author).  
 James Splinter, completed 2020. (Carleton, Co-supervisor).  
 Jérôme Archambault, completed 2020. (Carleton-UOttawa joint PhD, co-supervisor, co-author).

***PhD Students since 1992: Member of Committee***

*Public Policy*

Kevin Moore  
 Stephanie Paterson  
 Barbara Allen  
 Kevin Murphy  
 Alexandre Couture-Gagnon  
 Anteneh Belayneh.

*Economics*

Joshua Gogo  
 Andrew Garzoni  
 Samira Hazanzadeh  
 Jean-Baptiste Tondji  
 Jude-Henri Jeanniton.

***Visiting PhD students:***

Viola Lucas, University of Hamburg, Fall 2013.  
 Hunkar Gulkar, Marmara University, Istanbul, 2013-14.  
 Ambar Zahara, JNU, New Delhi, Winter 2011.

***Masters by thesis/research paper***

Tow Soon Lim, Public Policy 1985 (co-supervisor).  
 Gustav Goldman, Sociology 1994 (member of committee).

***MA and Honours BA directed studies.***

Numerous.  
 Meghan Byars (Undergraduate Research Student, 2016).

***Visiting Professors:***

Yadollah Dadgar, Professor, Behesty University, Tehran, Iran. 2019-2020.  
 Haizhen Mou, Associate Professor, University of Saskatchewan, Fall term 2016.  
 Bharatee Dash, Assistant Professor, National Institute of Public Finance and Policy, Delhi, Fall term 2014, Fall term 2017 (*Shastri Visitor*).  
 Pinaki Chakraborty, Professor of Public Finance, National Institute of Public Finance and Policy, Delhi, Summer 2011 (*Shastri Visitor*).  
 Samia Costa, University of St. Gallen, June 2004.  
 Gebhard Kirchaessner, University of St. Gallen, Summer 2002.

### ***Overseas Training:***

Ministry of Finance, Hanoi, various periods, 1999-2001. With follow-up at Carleton.  
Renmin University, Beijing, Summer 1990.

## **2) STUDIES AND RESEARCH**

### **Current Research Topics**

***The integration of public finance and collective choice.*** This longstanding research program combines the traditional concerns of public finance with the analysis of collective choice mechanisms and explores the implications of the resulting frameworks for the positive and normative analysis of taxation, public expenditure and public policy generally. The newest addition will be a monograph (in preparation for Cambridge) on *Political Competition and Public Economics*, to appear in 2022.

***Political competition in mature democracies: what is it, how do you measure it, and does it matter?*** This research is concerned with the meaning, measurement, and consequences for public policy of variation in the degree of political competition in mature democracies. Applications include elections to the parliament of Canada and their consequences over the history of the modern state from 1867, elections for the United States Senate from 1922 and the measurement and consequences of competitiveness in elections at the state level in India. Work on inequality and political competition in the Indian states is planned.

***Migration and public policy in Canada.*** Internal and international migration are important determinants of regional and national well-being. In this work I investigate the consequences of regional variation in the generosity of the unemployment insurance system and other public policies for interprovincial migration. I have also studied the role of Canada-U.S migration in the evolution of fiscal structure in Canada.

### **Publications - Books and monographs**

*Interregional Migration and Public Policy in Canada: An Empirical Study.* Montreal: McGill-Queen's University Press. 2012 (with Kathleen Day). 408 pages. *Winner of the Purvis Prize (\$10,000) from the Canadian Economics Association, June 2013.*

*Political Economy in Federal States: Selected Essays of Stanley L. Winer.* Cheltenham U.K.: Edward Elgar Publishing. 2002. Twelve papers written between 1975 and 2000, of which three are previously unpublished.

*Democratic Choice and Taxation: A Theoretical and Empirical Analysis.* New York: Cambridge University Press, 330 pages, 1999 (with Walter Hettich). Paperback edition 2005. eBook edition 2009.

*Internal Migration and Fiscal Structure: An Econometric Study of the Determinants of Inter-Provincial Migration in Canada.* Ottawa: Economic Council of Canada, 1982. 98 pages (with Denis Gauthier).

*Interprovincial Migration Data: A Supplement to "Internal Migration and Fiscal Structure",* Economic Council of Canada, May 1982. 150 pages (with Denis Gauthier)].

## **Publications** - Edited books and journals

*Coercion and Social Welfare in Public Finance: Economic and Political Dimensions*. Co-Editor with Jorge Martinez-Vazquez. Cambridge University Press. Hardcover and Kindle editions, May 2014. Paperback, October 2014. 356 pages. Based on a small workshop I co-organized with the purpose of producing this contribution to the Wicksellian tradition in public finance.

Editor, *Symposium on Heterogeneity and Public Policy, Parts 1 and 2*. Special issue of *Public Finance and Management*, 9(2/3), Sept. 2009.

*Political Economy and Public Finance: The Role of Political Economy in the Theory and Practice of Public Economics*. Cheltenham U.K.: Edward Elgar Publishing. 2002. e-book 2014. An edited volume based on plenary sessions I organized for the 57<sup>th</sup> IIPF Congress in 2001 (with co-editor Hirofumi Shibata).

Editor, *Special Issue on the Role of Political Economy in the Theory and Practice of Public Finance*. *International Tax and Public Finance* volume 9(4), Aug. 2002, 325-521.

*Knocking on the Back Door: Canadian Perspectives on the Political Economy of Freer Trade with the United States*, Halifax: Institute for Research on Public Policy, 1987, 220 pages (co-editor with Allan Maslove).

## **Publications** - Refereed journal articles

"Franchise extension and fiscal structure in the United Kingdom 1820 - 1913: A new test of the Redistribution Hypothesis". *Cliometrica* 2021(with Toke Aidt and Peng Zhang). doi: 10.1007/s11698-021-00239-0 (open access)

"Political Competition and the Private-Public Structure of Public Expenditure: A Model and Empirics for the Indian States". *International Tax and Public Finance*. January 2021. (with Stephen Ferris, Bharatee Dash and Pinaki Chakraborty). <https://link.springer.com/article/10.1007/s10797-020-09642-1> (open access)

The Measurement of Electoral Competition, With Application to Indian States. *Electoral Studies* 62, 2019 (with Bharatee Dash and J. Stephen Ferris). <https://doi.org/10.1016/j.electstud.2019.102070> (open access)

"Fiscal Incidence When Both Individual Welfare and Family Structure Matter: The Case of Subsidization of Home-care for the Elderly". *Public Finance Review*, 43(3), 2015, 373-401 (with Haizhen Mou).

"Wealth Transfer Taxation: An Empirical Investigation". *International Tax and Public Finance* 21(4), 2014, 720-767 (With Paola Profeta and Simona Scabrosetti).

"Explaining Variation in the Competitiveness of U.S. Senate Elections, 1922–2004." *Public Choice* 161, 2014, 471 - 497 (with Lawrence Kenny and Bernard Grofman).

"Democracy, Inequality and the Environment When Citizens Can Mitigate the Health Consequences of Pollution Privately or Act Collectively". *European Journal of Political Economy* 34, 2014, 142-156 (with Sophie Bernard and Louis Hotte).

"Do departures from democratic accountability compromise the stability of public finances? Keynesianism, central banking, and minority governments in the Canadian system of party government, 1867-2009." *Constitutional Political Economy* 23(3), 2012, 213-243 (with Stephen Ferris and Bernard Grofman).

"Environmental Regulation and Trade Openness in the Presence of Private Mitigation". *Journal of Development Economics* 97, 2012, 46-57 (with Louis Hotte).

"Closing the 49th Parallel: An Unexplored Episode in Canadian Economic and Political History" *Canadian Public Policy* 37(3), 2011, 307-336 (with James Davies).  
*Runner up for Vanderkamp prize, 2012*

"Consumption versus Income Taxation: Three Moments in the Political Economy of Fiscal Choice". *Public Finance and Management*, 9(3), Sept. 2009, 31 pages online (with George Warskett and Walter Hettich).

"Studying the Role of Political Competition in the Evolution of Government Size Over Long Horizons." *Public Choice* 137, Aug. 2008, 369-401 (with Stephen Ferris and SooBin Park).

"Searching for Keynesianism". *European Journal of Political Economy*, 24(2), June 2008, 294-316 (with Stephen Ferris).

"Is it Economics or Politics? Trending Economic Factors and the Structure of Congress in the Growth of Government, 1930-2002". *Public Choice* 113, 389-402, 2008. With data set at [www.carleton.ca/winer](http://www.carleton.ca/winer). (First author, with John Aldrich, Bernard Grofman and Michael Tofias).

"Just How Much Bigger is Government in Canada: A Comparison of the Size and Structure of the Public Sectors in Canada and the United States". *Canadian Public Policy*, 33(2), 173-206, June 2007. With an accompanying spreadsheet at [www.carleton.ca/winer](http://www.carleton.ca/winer) (with Stephen Ferris).  
*Runner up for the John Vanderkamp prize, 2008.*

"Internal Migration and Public Policy in Canada: An Empirical Study". *International Tax and Public Finance* 13, Sept. 2006, 535-564. (with Kathleen Day).

"Analyzing the Interdependence of Regulation and Taxation". *Public Finance Review* 34(4), 2006, 1-26 (with Walter Hettich)

"Tax Systems in the World: An Empirical Investigation into the Importance of Tax Bases, Collection Costs, and Political Regime". *International Tax and Public Finance* 13(2/3), 181-215, May 2006 (with Lawrence Kenny). **Reprinted** in James Alm and Jorge Martinez-Vazquez (eds.). *Tax Reform in Developing Countries, Volume I*. Edward Elgar, 2015, 437-471.

"The Political Economy of Government Size". *European Journal of Political Economy* 21, Sept. 2005, 643-666 (with George Tridimas).

"Tinpots, Totalitarians (and Democrats): An Empirical Investigation of the Effects of Economic Growth on Civil Liberties and Political Rights". *Public Choice* 108, 2004, 289-323 (with Muhammed Islam).

"Political Influence, Economic Interests and Endogenous Tax Structure in a Computable Equilibrium Framework: With Application to the United States, 1973 and 1983". *Public Choice* 109(1), 2001, 66-99 (with Louis Hotte).

"The Complexity of Tax Structure in Competitive Political Systems", *International Tax and Public Finance* 5, 1998, 127-155 (with G. Warskett and W. Hettich).

"Decision Externalities, Economic Efficiency and Institutional Response" *Canadian Public Policy*, 21(3), 1995, 344-361 (with Walter Hettich). *Vietnamese version* available at [www.carleton.ca/winer](http://www.carleton.ca/winer).

"Economic Efficiency, Political Institutions and Policy Analysis", *Kyklos* 46 (1), 1993, 3-25 (with Walter Hettich).

"Dispute Resolution and Self-Selection: An Empirical Examination of the Federal Public Sector, 1971-1982". *Industrial Relations/Relations Industrielles* 48(3), 1993, 146-162 (with Gene Swimmer).

"Debt and Tariffs: An Empirical Investigation of the Evolution of Revenue Systems," *Journal of Public Economics* 45, 1991, 215-242 (with Walter Hettich).

"Economic and Political Foundations of Tax Structure," *American Economic Review* 78(4), 1988, 701-712 (with Walter Hettich). **Reprinted** in James Alm (ed.). *The Economics of Taxation, Volume I*. Edward Elgar, 2011, 325-338. **Reprinted** in James Alm and Jorge Martinez-Vazquez (eds.). *Tax Reform in Developing Countries, Volume II*. Edward Elgar, 2015, 608-619.

"Federalism, Special Interests and the Exchange of Policies for Political Resources," *European Journal of Political Economy* 3, Special Issue 1987, 33-54 (with Walter Hettich).

"Money and Politics in a Small Open Economy," *Public Choice*, 51, 1986, pp. 221-239.

"The Role of Exchange Rate Flexibility in the International Transmission of Inflation in Long and Shorter Runs: Canada 1953 to 1981," *Canadian Journal of Economics* 19, February 1986, pp. 62-86.

"Vertical Imbalance in the Fiscal Systems of Federal States," *Canadian Journal of Economics*, 19, November 1986, 745-765 (with Walter Hettich).

"Blueprints and Pathways: The Shifting Foundations of Tax Reform," *The National Tax Journal*, 38, December 1985, 423-445 (with Walter Hettich). **Reprinted** in P. White (ed.), *The International Library of Essays in Law and Legal Theory, Tax Law Volume II*, Dartmouth Publishing Co. 1995, 3-26.

"A Positive Model of Tax Structure," *Journal of Public Economics*, 24/1, 1984, 64-87 (with Walter Hettich). **Reprinted** in Wallace Oates (ed.). *The Economics of Federalism and Local Finance*. The International Library of Critical Writings in Economics, Edward Elgar Publishers, 1998.

"Analysis of Constitutional Change: Comment on the Papers by Thomas Courchene and Albert Breton," *Public Choice, Carnegie Papers on Political Economy*, 44, 1984, 273-278. Refereed by Editorial Board.

"Some Evidence on the Effect of the Separation of Spending and Taxing Decisions," *Journal of Political Economy*, 9, February 1983, 126-140. **Reprinted** in *Problemi di Amministrazione Pubblica*, 9, Formez, Naples, 1984, 93-118.

"Optimal Fiscal Illusion and the Size of Government," *Public Choice*, 35, 1980, 607-622 (with E.G. West). **Reprinted as** "La ilusión fiscal óptima y tamaño del sector público". *Hacienda Pública Española*. 1988. 276-286

"Will Federal Centralization Help the Poor?" *Canadian Public Policy*, Autumn 1980, 662-667 (with E.G. West).

"The Individual, Political Tension, and Canada's Quest for a New Constitution." *Canadian Public Policy*, Winter 1980, 3-15 (with E.G. West).

"Short-Run Monetary-Fiscal Influences in a Federal State: With Application to the Post-War Canadian Economy 1947 to 1973," *Public Finance Quarterly*, 10, 1979, 395-424.

"Stabilization and Non-Federal Behaviour in an Open Federal State: An Econometric Study of the Fixed Exchange Rate, Canadian Case," *Empirical Economics*, 2, 1977, 195-211 (with M. Sheikh).

"Faltering Federalism and French Canadians," *Journal of Canadian Studies*, 1977, 44-52 (with N. H. Lithwick). Refereed by editorial board.

#### **Publications** - Invited Handbook and Encyclopaedia Articles (refereed)

"The Political Economy of Taxation: Power, Structure, Redistribution". In R. Congleton, B. Grofman and S. Voigt (eds.) *The Oxford Handbook of Public Choice*. Oxford University Press. 2019. Chapter 24. 50 printed pages.

Interregional Migration in Canada. *Global Encyclopedia of Public Administration, Public Policy, and Governance*, Editor-in-Chief Ali Farazmand. Section Editors Lon Felker and Paul Trogen. Springer. 2017. 16 pages. (with Kathleen Day). [https://link.springer.com/referenceworkentry/10.1007/978-3-319-31816-5\\_2858-1](https://link.springer.com/referenceworkentry/10.1007/978-3-319-31816-5_2858-1)

"The Political Economy of Taxation." In *Oxford Bibliographies in Political Science*. Ed. Rick Valelly. New York: Oxford University Press, January 2014. (with Paola Profeta and Walter Hettich). 45 pages in manuscript form, about 120 entries, annotated with introductions. <http://www.oxfordbibliographies.com/obo/page/political-science>. doi: 10.1093/OBO/9780199756223-0083

"Structure and Coherence in the Political Economy of Public Finance". In B. Weingast and D. Wittman (eds.). *The Oxford Handbook of Political Economy*. Oxford University Press, 441-463, 2006 (with Walter Hettich).

"The Political Economy of Taxation: Positive and Normative Analysis When Collective Choice Matters". In C. Rowley and F. Schneider (eds.). *The Encyclopaedia of Public Choice*, Kluwer Academic, 2004, 173-190 (with Walter Hettich). (The first encyclopaedia of public choice). Revised version titled 'Taxation'. **Reprinted** in C. Rowley and F. Schneider (eds.). *Readings in Public Choice and Constitutional Political Economy*, Springer 2008, 391-423.

"Rules, Politics and the Normative Analysis of Taxation". in Richard Wagner and Jurgen Backhaus (eds.). *Handbook of Public Finance*. Kluwer Academic Publishers 2004, 109-138 (with Walter Hettich).

"The Political Economy of Taxation", in D. Mueller (ed.), *Perspectives on Public Choice: A Handbook*, Cambridge University Press, 1997, 481-505 (with Walter Hettich). Japanese version available at [www.carleton.ca/winer](http://www.carleton.ca/winer).

## **Publications - Papers in refereed edited volumes**

"In Defense of Majoritarianism". In André Blais, Cris de Clercy, Anna Esselment and Ronald Wintrobe (eds.). *Across Boundaries: Essays in Honour of Robert A. Young*. McGill-Queen's University Press. 2021: 214-230.

"On the Definition and Nature of Fiscal Coercion". In Richard Wagner (ed.), *James M. Buchanan. A Theorist of Political Economy and Social Philosophy*. 2019, Chapter 23. Palgrave Macmillan (with George Tridimas).

"The Duverger-Demsetz Perspective on Electoral Competitiveness and Fragmentation: With application to the Canadian Parliamentary System, 1867 - 2011". In. M. Gallego and N. Schofield (eds.) *The Political Economy of Social Choices*. Springer, 2016, 93-122. (with Stephen Ferris and Bernard Grofman). [www.springer.com/gp/book/9783319401164](http://www.springer.com/gp/book/9783319401164)

Coercion, Welfare and Public Finance. Chapter 1 in J. Martinez-Vazquez and S.L. Winer (eds.). *Coercion and Social Welfare in Public Finance: Economic and Political Dimensions*. Cambridge University Press, 2014, 1- 8. (with J. Martinez-Vazquez).

"Social Welfare and Coercion in Public Finance". Chapter 7 in J. Martinez-Vazquez and S.L. Winer (eds.). *Coercion and Social Welfare in Public Finance: Economic and Political Dimensions*. Cambridge University Press, 2014, 160-194 (with Walter Hettich and George Tridimas).

"What do we know about the relationship between regionalized aspects of the unemployment insurance system and internal migration in Canada?" In Keith Banting and Jon Medow, eds., *Making EI Work: Research from the Mowat Centre Employment Insurance Task Force*. Toronto and Montreal: Mowat Centre for Policy Innovation, University of Toronto and McGill-Queen's University Press, 2012. [https://mowatcentre.ca/wp-content/uploads/publications/31\\_what\\_do\\_we\\_know.pdf](https://mowatcentre.ca/wp-content/uploads/publications/31_what_do_we_know.pdf)

"Political Regimes, Institutions and Tax Systems". In English in E. Albi and J. Martinez-Vazquez (eds.), *The Elgar Guide to Tax Systems*, Edward Elgar 2011, 376-413. In Italian in F. Padovano and A. Petretto (eds.). *Public Choice e Political Economy*. Franco Angeli, 2010, 25-62. In Spanish in *Papeles de Economia Espanola* 125/126, 2010. Claves Actuales de la Fiscalidad Futuro, 353-377 (with Larry Kenny and Walter Hettich). [https://www.e-elgar.com/shop/the-elgar-guide-to-tax-systems?\\_\\_website=uk](https://www.e-elgar.com/shop/the-elgar-guide-to-tax-systems?__website=uk)

"Explaining Tax Reform". In Jim Alm and Mark Ryder (eds.). *The Challenges of Tax Reform in a Global Economy*, Springer, 2006, 347-361 (with Walter Hettich).

"Public Choices and Fiscal Means: Analyzing Taxes as Collective Outcomes". In Richard Wagner (ed.) *Politics, Taxation, and the Rule of Law: The Power to Tax in Constitutional Perspective*. Kluwer Academic, 2002, 101-21 (with Walter Hettich).

"On the Reassignment of Fiscal Powers in a Federal State". In G. Galeotti, P. Salmon and R. Wintrobe (eds.) *Competition and Structure: The Political Economy of Collective Decisions: Essays in Honour of Albert Breton*. New York: Cambridge University Press, 2000, 150-173.

"Internal Migration and Public Policy: An Introduction to the Issues and a Review of Empirical Research on Canada". In A. Maslove (ed.), *Issues in the Taxation of Individuals*, University of Toronto Press, 1994, 3-61 (with Kathleen Day).

"Coercive Redistribution and the Franchise: A Preliminary Investigation Using Computable General Equilibrium Modelling," in A. Breton, G. Galeotti, P. Salmon and R. Wintrobe (eds.), *Preferences and Democracy*, Kluwer Academic Publishers, 1993, 351-376 (with Tom Rutherford).

"Checks and Balances and the Structure of Taxation in the United States & Canada," in A. Breton, G. Galeotti, P. Salmon and R. Wintrobe (eds.), *The Competitive State*, Kluwer Academic Publishers, 1991, 39-56 (with Walter Hettich).

"Tax Expenditures and the Democratic Process", in Neil Bruce (ed.), *Tax Expenditures and Government Policy*, John Deutsch Institute, Queen's University, 1988, 379-406 (with Walter Hettich).

*Candide Model 1.2M: A Description for Model Users*, and *Candide Model 1.2M, Lists of Variables and Equations*, Ottawa, Economic Council of Canada, 1976, (with other members of the Candide Group). Specific responsibility for government budget restraints.

## **Publications** - Other invited journal articles and chapters

"Introduction to the Special Issue on Heterogeneity and Public Policy", *Public Finance and Management*. 9(2/3), 2009.

"Vertical Imbalance in the Federation". In A. Maslove (ed.) *How Ottawa Spends, 2008 – 2009*. McGill-Queen's University Press 2009, 15-32 (with Walter Hettich). Revised version also in Chinese at [www.carleton.ca/winer](http://www.carleton.ca/winer).

"Political Economy and Public Finance: A Brief Introduction" in *Political Economy and Public Finance: The Role of Political Economy in the Theory and Practice of Public Economics*, Edward Elgar 2002, 1-12. (with Hirofumi Shibata)

"What Is Missed If We Leave Out Collective Choice in the Analysis of Taxation." Invited Symposium Paper, *National Tax Journal*, 51(2), June 1998, 373-390. **Reprinted** in Joel Slemrod (ed.). *Tax Policy in the Real World*. New York: Cambridge University Press, 2000.

"Information, Co-ordination and Tax Policy Making", in R. Bird and T. Wilson (eds.), *Rationality in Public Policy: Retrospect and Prospect; A Tribute to Douglas Hartle*. Toronto: Canadian Tax Foundation. 1999, 19-42 (with Walter Hettich).

"Analysing the Collective Power to Tax: Models and Policy Issues". In Rick Krever (ed.), *Tax Conversations*, Kluwer Law International. 1997, 49-86 (with Walter Hettich). **Reprinted** in Japanese (first 15 pages) in *Mita Gakkai Zasshi* 91(9), 27-38, 1998 (Mita Journal of Economics), Keio University.

"Fiscal-Federalism in Canada and Australia: A Brief Comparison of Constitutional Frameworks, Structural Features of Existing Fiscal Systems and Fiscal Institutions", in Paul Boothe (ed.), *Reforming Fiscal Federalism for Global Competition*, University of Alberta press, 1996, 45-86 (with Allan Maslove).

"Taxation and Federalism in a Changing World", in R. Bird and J. Mintz (eds.), *Taxation to 2000 and Beyond*", Toronto: Canadian Tax Foundation, 1992, 343-369.

"Basic Issues in the Positive Political Economy of Income Taxation", in S. Cnossen and R. Bird (eds.), *The Personal Income Tax: Phoenix from the Ashes?*" Elsevier Science, North-Holland, 1990, 265-289 (with Walter Hettich).

"The Structure of the Sieve: Political Economy in the Explanation of Tax Systems and Tax Reform," *Osgoode Hall Law Journal*, 26 No. 2, Special Issue, Summer 1988, published 1989, 409-422 (with Walter Hettich).

#### **Publications - Other journal articles and chapters**

Reflections on the Role of Optimal Design in the Tax Policy Process. In F. Gorbet and A. Sharpe (eds.). In *New Directions for Intelligent Government in Canada: Papers in honour of Ian Stewart*. Ottawa: Centre for the Study of Living Standards. October 2011, 205-210.  
<http://www.csls.ca/festschrift/stewartfestschrift.pdf>

"The Individual Income Tax in the World". *National Tax Association, Proceedings*. 2002 (with Larry Kenny).

"The Report of the Technical Committee on Business Taxation (the Mintz report): A Panel Discussion". Rapporteur. *Canadian Tax Journal* 46(6), 1998, 1245-1277.

"Obituary: W. Irwin Gillespie: Gentle Scholar". *Canadian Journal of Economics* 1998 (with D. Bernhardt and T. K. Rymes).

"Competition, Decision Externalities and Efficiency in the Public Sector", in Bryne Purchase (ed.). *Policy Making and Competitiveness*. Policy Studies, Queens' University 1994, 157-166.

"Dispute Resolution and Self-Selection in the Public Service", in J. Boivin (ed.), *Some Aspects of International Industrial Relations*, Université Laval, 1989, 189-200 (with G. Swimmer).

"The Social Cost of Taxation and Its Policy Implications," in A. Maslove (ed.), *How Ottawa Spends, 1985*, Methuen, 1985, 162-181.

"The Department of National Health and Welfare: Expenditure Transfers to Individuals and Provinces," in G. B. Doern (ed.), *Spending Tax Dollars*, School of Public Administration, Carleton University, 1980, 119-181.

#### **Publications - Government reports, critical comments and other papers**

Internal Migration and Public Policy in Canada: An Empirical Study". Working Paper W-01-3E. Human Resources and Development Canada, Government of Canada. July 2001. Published 2003. 294

pages (with Kathleen Day). Refereed.

"Internal Migration and Public Policy in Canada". *Applied Research Bulletin*. Human Resources and Development Canada, Government of Canada (with Kathleen Day). 2003.

"The Nature, Causes and Consequences of Interprovincial and Intraprovincial Migration for Newfoundland and Labrador: A Discussion of Research Alternatives". For the Province of Newfoundland and Labrador, September 1998 (with Dane Rowlands).

"Internal Migration and Public Policy in Canada: The Economic Issues". Briefing Paper for Human Resources and Development Canada, September 1996.

"Approaches to Study of the Public Sector". Paper commissioned by The Economic Council of Canada, 1994 (with Allan Maslove).

"Broader Issues Concerning the Constitutional Assignment of Spending Responsibilities" In Paul Boothe (ed.). *Alberta and The Economics of Constitutional Change*, Edmonton: Canada West Foundation for Economic Research 1992, 58-63.

"An Introduction to Longer Run Issues in the Design and Conduct of Fiscal Policy in Canada". Paper prepared for a World Bank sponsored conference on Long Run Fiscal Policy, Shenzhen China, August 1991.

"Choosing an Appropriate Time Horizon for Taxation: A Comment on Goode and Vickrey" in L. Eden (ed.), *Papers in Honour of Carl Shoup*, Duke University Press, 1991, 168-171.

"Approaches to a Study of the Public Sector: Process, Institutions and Outcomes", Economic Council of Canada Discussion Paper, November 1990 (with Allan Maslove).

"Business-Related Non-Immigrant Migration to the U.S. from Canada: A Preliminary Investigation Using Publicly Available U.S. Data." Research report for the Association of Universities and Colleges of Canada, 1986 (with Monica Boyd).

"Consequences of the Interdependency of Government Budget Restraints," Economic Council of Canada Discussion Paper No. 49, 1976.

"Simulation of the Macroeconomic Effects of an Air Pollution Abatement Program with the use of the CANDIDE Model: A Feasibility Study". Research report for the Department of the Environment, 1980 (with Ronald Bodkin).

"Financing of Macropolicy in Open Federal States: An Econometric Investigation of the Canadian Case Using Candide 1.2M," Economic Council of Canada Discussion Paper No. 67, 1976 (with Munir Sheikh).

## **Publications - Recent data sets**

Stanley L Winer, J. Stephen Ferris and Bharatee Bhusana Dash (2019). "Replication Data for 'The Measurement of Electoral Competition, With Application to Indian States'. Scholars Portal Dataverse:

<https://doi.org/10.5683/SP2/N3GJR4>

Winer, S. L., J. S. Ferris, B. B. Dash and P. Chakraborty. (2020). Replication Data for "Political Competitiveness and the Private-Public Structure of Public Expenditure: A model and empirics for the Indian States ". Scholars Portal Dataverse:

[https://dataverse.scholarsportal.info/dataverse/Privatenessof\\_Public\\_Expenditure](https://dataverse.scholarsportal.info/dataverse/Privatenessof_Public_Expenditure) and

<https://doi.org/10.5683/SP2/DDZEGJ>.

Winer, S. L., J. S. Ferris and B. B. Dash (2019). Panel Data for the Study of Indian State Elections, 1952 - 2009. Scholars Portal Dataverse: <https://doi.org/10.5683/SP2/E1ZRXU>.

Stanley L. Winer and J. Stephen Ferris, with Haizhen Mou, Derek E. H. Olmstead and Jérôme Archambault, and with assistance of Samira Hasanzadeh, Beatriz Peraza, Sarah Mohan, Alexandre Couture Gagnon and Audrey Tong. (2019). "Data Set on Federal Elections, With Superconstituencies, Canada 1867 - 2015, Elections 1 - 42". Scholars Portal Dataverse: <https://doi.org/10.5683/SP2/1N4Y1G>.

Other data sets at [www.carleton.ca/winer](http://www.carleton.ca/winer).

## **Publications - Current working papers**

"A Dynamic Model of Political Party Equilibrium: The Evolution of ENP in Canada, 1870 - 2015." (with Stephen Ferris and Derek Olmstead). Carleton University, Unpublished.

In progress: *Political Competition and Public Economics*. A monograph in the *Elements of Public Economics* Series. Cambridge University Press. With Steve Ferris.

## **Publications - Book Reviews**

Peter Aucoin, *The New Public Management: Canada in Comparative Perspective*. Institute for Research on Public Policy, 1995. *Canadian Public Policy* 23(1), 1997, 117-118.

Sven Steinmo, *Taxation and Democracy*, Yale University Press, 1993. *National Tax Journal* 57(4), December 1994, 883-887.

D. Bös, M. Rose and C. Seidl (eds.), *Welfare and Efficiency in Public Economics*, Springer Verlag, 1988. *Canadian Journal of Economics* 22(13), August 1989, 703-706.

"Challenging Issues in the Study of Fiscally-Induced Migration," *Canadian Journal of Regional Science* 9(3), Autumn 1986, 382-387.

A. Premchaud, *Government Budgetary and Expenditure Controls: Theory and Practice*, International Monetary Fund, 1983. *Journal of Money, Credit and Banking*, May 1983.

Dan Usher, *The Economic Prerequisite to Democracy*, Oxford: Basil Blackwell, 1981. *Canadian Public Administration* 26, Spring 1983.

Roger S. Smith, *Tax Expenditures: An Examination of Tax Incentives and Tax Preferences in the Canadian Federal Income Tax System*, Toronto, Canadian Tax Foundation, 1979. *Canadian Public Administration* 23, Fall 1980.

Wallace Oates (ed). *Financing the New Federalism*. Johns Hopkins University Press. *Canadian Journal of Economics* 11(4), 1977, 721-724.

## Research Grants and Contracts

- Kanta Marwah Research Grant in Peace and Security. With Stephen Ferris. Canada-India Center for Excellence, Carleton University. 2014-2016. \$10,000.
- What is the Quality of Governance Across Indian States, and Does it Matter? With Stephen Ferris. SSHRCC (economics), 2013-2016. \$73,852.
- What do we know about the relationship between regionalized aspects of the unemployment insurance system and internal migration in Canada?" Mowat Center Task Force on Employment Insurance. University of Toronto. October 2011. \$10,000.
- Political Competition: Empirical Studies of Canada and the United States. With Bernard Grofman, Stephen Ferris and Lawrence Kenny. SSHRCC (political science). 2007-2011. \$87,290.
- The Environment, Trade, Democracy Nexus. With Louis Hotte. SSHRCC (economics), 2007-2011, \$44,000.
- Fulbright Canada Visiting Chair, Duke University, Fall term, 2003. \$25,000 (U.S.).
- The Influence of Public Policy on Interprovincial Migration. With Kathleen Day. Human Resources Development Canada, with the assistance of Statistics Canada. September 1997-August 2000. \$56,000.
- Searching for Keynes. Carleton University GR6. With Stephen Ferris. 1998. \$5000
- Taxation by Democratic Government. With Walter Hettich. SSHRCC (economics). 1991-1995. \$31,750.
- Grant for International Representation, SSHRCC. 1993, \$2,000.
- Consultant for report 'Revised Approach to the Evaluation of Tax Measures at the Department of Finance', Office of the Auditor General of Canada, 1993.
- Participant in 'Tax Experts Questionnaire', Office of the Auditor General of Canada, 1993.
- Internal Migration and Public Policy. Paper commissioned by the Ontario Fair Tax Commission. With Kathleen Day. 1992. \$9500.
- Economic Efficiency and Decision Externalities. With Walter Hettich. Paper commissioned by the Queen's-University of Ottawa Project on Government and Competitiveness. 1992. \$10,000
- Taxation and Federalism. Paper commissioned for the conference on 'Taxation to 2000 and Beyond'. Canadian Tax Foundation. 1991. \$5,000.
- Tax Expenditures and the Democratic Process. With Walter Hettich. Contract research for the Department of Finance. 1988.
- Taxes, Debt and the Size of Government. With Walter Hettich. SSHRCC (economics). 1988- 1989. \$10,650.
- Taxation by Democratic Government. Carleton University, GR-6. 1987. \$2,000.
- Conference grant, Canada-U.S. Free Trade. With Allan Maslove. SSHRCC. 1986. \$5,700.
- The Positive Theory of Tax Structure. With Walter Hettich. SSHRCC (economics). 1985-1987. \$13,178.
- For International Collaboration. SSHRCC. 1985. \$1,220.
- For study of tax structure, Carleton University. GR-6. 1984. \$2,200.
- Alternative Approaches to Tax Reform. Research contract, Economic Council of Canada. 1984.
- The Influence of Intergovernmental Grants in Canada. Carleton University. GSRO. 1983. 1,063.
- Models of Fiscal Structure. With Walter Hettich and W. Irwin Gillespie. SSHRCC (economics). 1981-83. \$9,713.
- Testing Positive Theories of Fiscal Structure. Carleton University. GR-6. 1981.
- The Relationship Between Fiscal Structure and Internal Migration". Contract research for the Economic Council of Canada. 1981-82.

- Tests of Alternative Theories of the Money Supply Process. With Ehsan Choudhri. Carleton University, GR-6. 1979-80.
- An Econometric Model of the Effects of Separating Spending and Taxing. Carleton University. GR-6. 1978-79, \$1,800.
- Development of an econometric model for teaching purposes. Ontario Universities Program for Instructional Development. 1977-78. \$3,200.

### 3) AWARDS:

Purvis Memorial Prize for best work on Canadian public policy, Canadian Economics Association, June 2013. (\$10,000).

Shastri-Canadian Lecturer in India, January-February 2013.

Aid to Scholarly Publications publication award for *Interregional Migration and Public Policy in Canada*, 2010. (\$8,000).

Canada Research Chair, Tier I, Multidisciplinary in Social Science, May 2001. Renewed October 2008 and May 2015 for seven-year terms.

Fulbright-Duke University Visiting Chair, Duke University, Fall 2003. (\$35,000).

Research Achievement Award, Carleton University, 1998-1999. (One-year release from teaching).

Scholarly Achievement Award, Carleton University, 1986.

Scholarly Achievement Award, Carleton University, 1985.

Social Sciences and Humanities Research Council of Canada Leave Fellowship, 1982-83.

Merit Award, Carleton University, 1981.

Canada Council Doctoral Fellowship, 1972-75.

John Hopkins Fellowships, 1971-75.

Carleton University Entrance, Stitt and Linch Scholarships 1966, 1967 and 1969.

### 4) SELECTED PROFESSION-RELATED ACTIVITIES:

#### Editorial positions:

Member, Editorial Board, *Carleton Library Series*, 1999---.

Chair, Editorial Board, *Carleton Library Series* (published in collaboration with McGill-Queens University Press). 2009-10 and 2012-2020.

Scientific Committee, *Journal of Public Finance and Public Choice*, 2018 ---.

Editorial Board, *Public Finance Review*, 2003 to 2017.

### **Selected plenary and other special lectures:**

Three lectures on 'Political Competition'. Center for Economic Studies, Ludwig Maximilian University, Munich. October 2018.

John F. Graham Memorial Lecture, Atlantic Canadian Economics Society, Memorial University, October 2013.

Shastri Canadian Lecturer in India, January-February 2013. Eight lectures at various universities and institutes, Delhi, Bangalore, Mysore and Mumbai.

Three lectures on 'Explorations in Interregional and International Migration'. Center for Economic Studies, Ludwig Maximilian University, Munich. May 2011.

"Vertical Balance in a Federal System: A Political Economy Perspective". Invited lecture, Seminar on Sub-central Public Finance. Dongbei University of Finance and Economics, Dalian China, August 2010.

"Internal Migration and Public Policy in Canada". Invited keynote lecture, Pearl Conference, Turin Italy, May 2010.

"Political Regimes, Institutions and Tax Systems". Invited plenary speaker, SIEP (Society of Italian Public Finance Economists), Pavia, September 2009. Also presented at the seminar on 'Tax Systems Whence and Wither', Malaga Spain, August 2009.

Two invited plenary lectures based on my current research, 38th Public Finance International Annual Meeting of the Argentinean public finance association, Cordoba, Argentina. September 2005.

"Structure and Coherence in the Political Economy of Public Finance". Invited Delta Marsh workshop lecture, Dept. of Economics, University of Manitoba, 2004.

"The Political Economy of Taxation". Invited Greer Lecture, University of Tennessee. October 2003.

"Rules, Politics and the Normative Analysis of Taxation". Invited plenary lecture for the European Public Choice Society, Belgirate, Italy. April 2002.

### **Other professional activities:**

- Member, Polanyi Award Committee, Council of Ontario Universities, 2009-2011.
- Chair, Riker Award Committee 2006, American Political Science Association (to award prize for best book of the year in political economy).
- Research Associate, CESifo Network, 2001---.
- Member of the Board of Management, European Public Choice Society, 2004-2007 and member of scientific committee (Jena 2008, Taormina, 2013).

- Elected Executive Vice-president, International Institute of Public Finance, 2002-2005, and member of scientific committee (Paphos, 2006; Beijing 2013).
- Chair of scientific committee and principal organizer, 57th Congress of the International Institute of Public Finance, Linz, Austria, August 27-30, 2001. The topic of the congress is: the role of political economy in the theory and practice of public finance. A conference of 200+ papers, and 10 plenary speakers.
- Member of Canada Research Chairs College of Reviewers, 2001---
- Member, Panel of Experts on Demographic Change for Newfoundland Department of Finance, January 2001.
- Co-organizer of the Canadian Public Economics Study Group (May 1995 and May 1998).
- Elected member of the Executive Council of the Canadian Economics Association, 1995-1998.
- Member of Public Finance Advisory Committee for Ontario Economic Council, 1981-1983.
- Participant, Third Legal Institute for Economists, University of Miami Law and Economics Centre, 1978.

## **5) SELECTED ACADEMIC AND ADMINISTRATIVE RESPONSIBILITIES:**

- Member, Faculty of Public Affairs Research Committee, 2005 - 2020.
- Co-coordinator of Seminar on Economics, Politics and Public Policy, Department of Economics. Various years.
- Co-coordinator of General Research seminar, School of Public Policy. Various years.
- Administrator of Gillespie-West Fellowship, 2002-2009.
- Member of Executive Board, Center on Values and Ethics, Carleton University, 2004-2008.
- Member, Centre for Representation and Elections, Department of Political Science, Carleton University, 2000-2005.
- Carleton representative to the Humanities and Social Science Federation of Canada, 1997-2003.
- Inaugural Supervisor, Doctoral Program in Public Policy, School of Public Administration, 1990-1996.